

# Tools for Microarray Data Analysis

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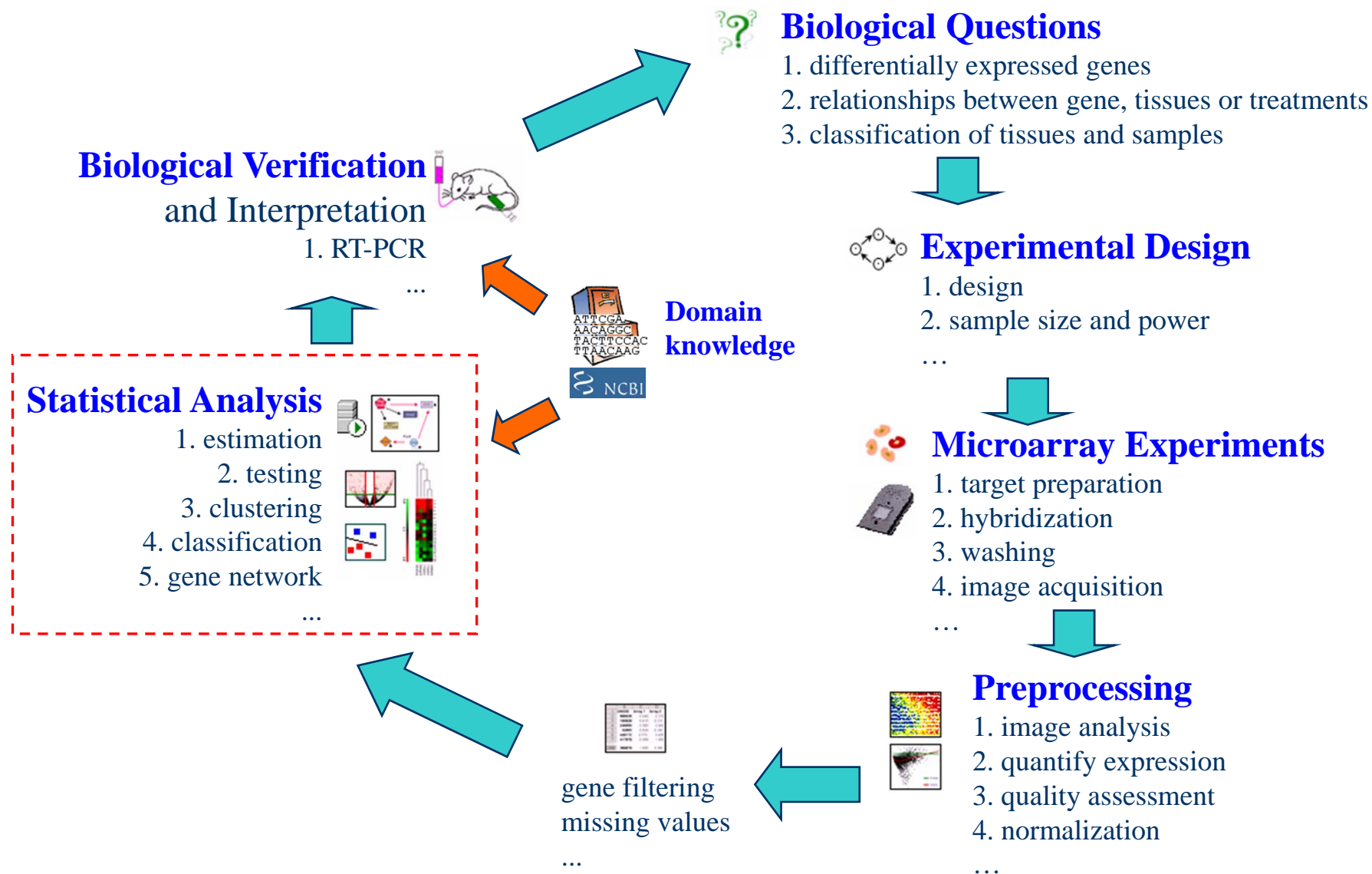
[hmwu@mail.tku.edu.tw](mailto:hmwu@mail.tku.edu.tw)  
<http://www.hmwu.idv.tw>

2011/06/16

# Content

- **Microarray Life Cycle**
- **Statistical Issues and Recent Progress**
  
- **Finding Differential Expressed Genes**
  - t-test
  - Significance Analysis of Microarrays (SAM)
  
- **Gene Set Analysis (GSA)**
  - Gene Set Enrichment Analysis (GSEA)

# Microarray Life Cycle



# Basic Statistical Issues

- Data Preprocessing: image processing, normalization
- Gene Filtering, Missing Values Imputation
- *Finding Differential Expressed Genes*
- *Visualization (including dimension reduction)*
- *Clustering*
- Classification
- ...

# Advance Statistical Issues

- **Experimental Design**
- **Time Course Microarray Experiments**
- **Gene Regulatory Networks/Pathway**
- **Annotations/Databases**
- **Comparisons, Sample Size, Dye Swap, Replicates, ...**
- **Web Resource, Software Design**
- **...**

## Microarray data analysis: from disarray to consolidation and consensus

*David B. Allison\*\*§, Xiangqin Cui\*\*§, Grier P. Page\* and Mahyar Sabripour\**

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- Incorporating **biological knowledge** into analysis.
- Meta-analysis: pooling
- Well-curated publicly data set.
- Quality-control assessment.
- Development of standardized testing platforms (e.g., AffyComp).
- Gene set analysis (GSA)

# Recent Progress

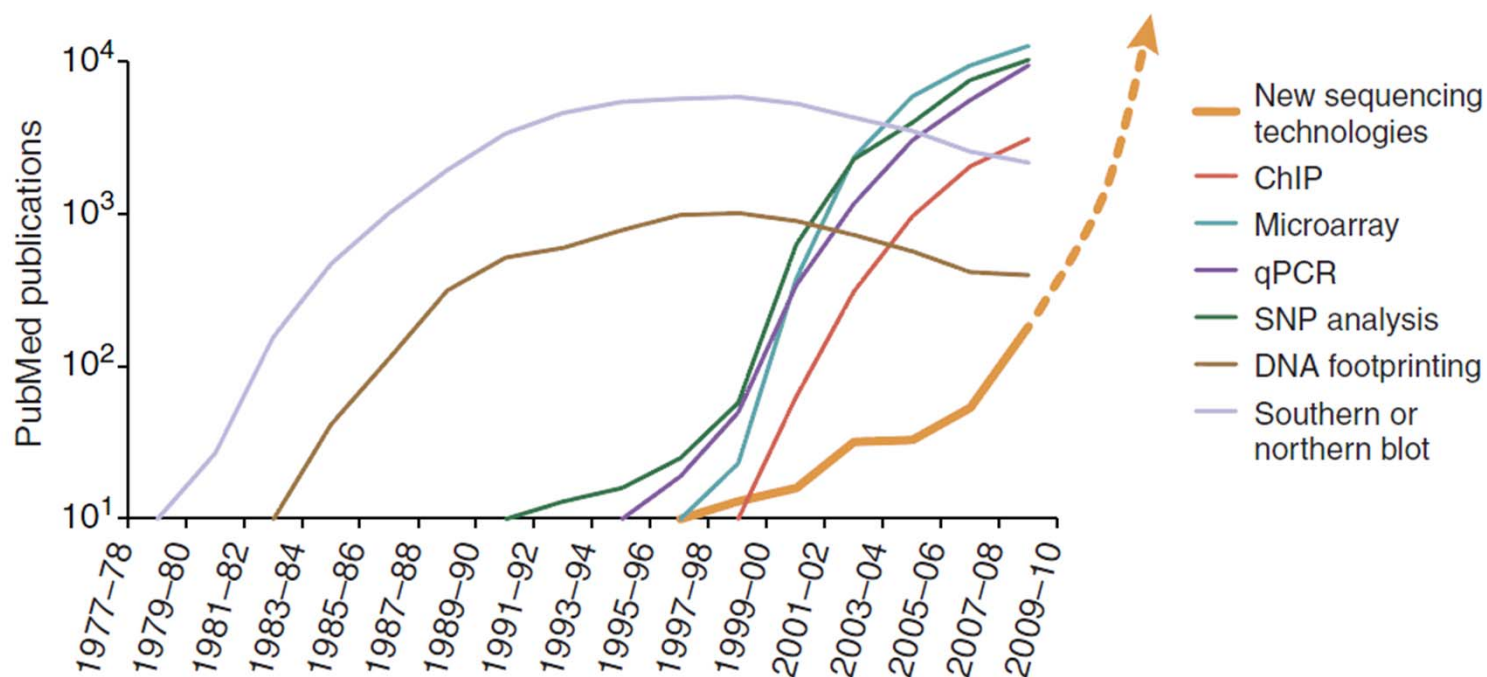
NATURE METHODS | VOL.5 NO.7 | JULY 2008 | 585

## The beginning of the end for microarrays?

Jay Shendure

Two complementary approaches, both using next-generation sequencing, have successfully tackled the scale and the complexity of mammalian transcriptomes, at once revealing unprecedented detail and allowing better quantification.

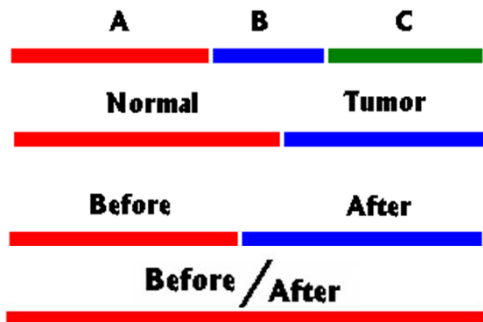
Ref: Avak Kahvejian, John Quackenbush & John F Thompson, 2008, **What would you do if you could sequence everything?** Nature Biotechnology 26, 1125 - 1133



# Finding Differential Expressed Genes (DEGs)



# Finding Differentially Expressed Genes



→ More than two samples

→ Two-sample (independent)

→ Paired-sample (dependent)

Cy 5: treatment

Cy 3: control

MA Table	exp01	exp02	exp03	exp04	exp05	exp...	exp P
gene001	-0.48	-0.42	0.87	0.92	0.67		-0.35
gene002	-0.39	-0.58	1.08	1.21	0.52		-0.58

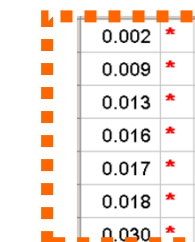
MA Table	exp01	exp02	exp03	exp04	exp05	exp...	exp P
gene001	-0.48	-0.42	0.87	0.92	0.67		-0.35
gene002	-0.39	-0.58	1.08	1.21	0.52		-0.58
gene003	0.87	0.25	-0.17	0.18	-0.13		-0.13
gene004	1.57	1.03	1.22	0.31	0.16		-1.02
gene005	-1.15	-0.86	1.21	1.62	1.12		-0.44
gene006	0.04	-0.12	0.31	0.16	0.17		0.08
gene007	2.95	0.45	-0.40	-0.66	-0.59		-0.76
gene008	-1.22	-0.74	1.34	1.50	0.63		-0.55
gene009	-0.73	-1.06	-0.79	-0.02	0.16		0.03
gene010	-0.58	-0.40	0.13	0.58	-0.09		-0.45
gene011	-0.50	-0.42	0.66	1.05	0.68		0.01
gene012	-0.86	-0.29	0.42	0.46	0.30		-0.63
gene013	-0.16	0.29	0.17	-0.28	-0.02		-0.04
gene014	-0.36	-0.03	-0.03	-0.08	-0.23		-0.21
gene015	-0.72	-0.85	0.54	1.04	0.84		-0.64
gene016	-0.78	-0.52	0.26	0.20	0.48		0.27
gene017	0.60	-0.55	0.41	0.45	0.18		-1.02
gene018	-0.20	-0.67	0.13	0.10	0.38		0.05
gene019	-2.29	-0.64	0.77	1.60	0.53		-0.38
gene020	-1.46	-0.76	1.08	1.50	0.74		-0.70
gene021	-0.57	0.42	1.03	1.35	0.64		-0.40
gene022	-0.11	0.13	0.41	0.60	0.23		0.19
gene...							
gene n	-1.79	0.94	2.13	1.75	0.23		-0.66

p-values

0.067
0.052
0.013 *
0.016 *
0.112
0.017 *
0.059
0.063
0.516
-0.009 *
0.068
0.030 *
0.002 *
0.423
0.084
0.048
0.018 *
0.538
0.053
0.074
0.764
0.423
0.723



p-values or Statistics



fix number

above some level

Microarray Data Matrix

gene001	-0.48	-0.42	0.87	0.92	0.67	-0.35
⋮						
gene022	-0.11	0.13	0.41	0.60	0.23	0.19

# Paired Data: Breast Cancer Dataset

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## *cDNA Microarrays Data:*

- **#Samples:** 20 breast cancer patients, before and after a 16 week course of doxorubicin chemotherapy
- **#Genes:** 9216 genes.

Cy5: treatment  
Cy3: control

MA Table	exp01	exp02	exp03	exp04	exp05	exp...	exp P
gene001	-0.48	-0.42	0.87	0.92	0.67		-0.35
gene002	-0.39	-0.58	1.08	1.21	0.52		-0.58
gene003	0.87	0.25	-0.17	0.18	-0.13		-0.13
gene004	1.57	1.03	1.22	0.31	0.16		-1.02
gene005	-1.15	-0.86	1.21	1.62	1.12		-0.44
gene006	0.04	-0.12	0.31	0.16	0.17		0.08
gene007	2.95	0.45	-0.40	-0.66	-0.59		-0.76
gene008	-1.22	-0.74	1.34	1.50	0.63		-0.55
gene009	-0.73	-1.06	-0.79	-0.02	0.16		0.03
gene010	-0.58	-0.40	0.13	0.58	-0.09		-0.45
gene011	-0.50	-0.42	0.66	1.05	0.68		0.01
gene012	-0.86	-0.29	0.42	0.46	0.30		-0.63
gene013	-0.16	0.18	0.17	0.23	0.17		-0.04
gene014	-0.36	-0.11	0.17	0.17	0.17		-0.21
gene015	-0.72	-0.85	0.94	1.04	0.84		-0.64
gene016	-0.78	-0.52	0.26	0.20	0.48		0.27
gene017	0.60	-0.55	0.41	0.45	0.18		-1.02
gene018	-0.20	-0.67	0.13	0.10	0.38		0.05
gene019	-2.29	-0.64	0.77	1.60	0.53		-0.98
gene020	-1.46	-0.76	1.08	1.50	0.74		-0.70
gene021	-0.57	0.42	1.03	1.35	0.64		-0.40
gene022	-0.11	0.13	0.41	0.60	0.23		0.19
gene...							
gene n	-1.79	0.94	2.13	1.75	0.23		-0.66

reference

9216 x 20

## *Paired Data:*

- Two measurements from each patient, one before treatment and one after treatment.

## *Interests:*

- the difference between the two measurements (the log ratio).
- whether a gene has been **up-regulated** or **down-regulated** in breast cancer following that treatment.

Perou CM, et al, (2000), Molecular portraits of human breast tumours. Nature 406:747-752.

Stanford Microarray Database: [http://genome-www.stanford.edu/breast\\_cancer/molecularportraits/](http://genome-www.stanford.edu/breast_cancer/molecularportraits/)

# Unpaired Data: Leukemia Dataset

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## Affymetrix Microarray Data

- **#Samples:** Bone marrow
  - **#ALL** (acute lymphoblastic leukemia):  
27 patients (急性淋巴細胞白血病)
  - **#AML** (acute myeloid leukemia):  
11 patients (急性骨髓性白血病)
- **#Genes:** 7070 genes.

MA Table	exp01	exp02	exp03	exp04	exp05	exp...	exp P
gene001	-0.48	-0.42	0.87	0.92	0.67		-0.35
gene002	-0.39	-0.58	1.08	1.21	0.52		-0.58
gene003	0.87	0.25	-0.17	0.18	-0.13		-0.13
gene004	1.57	1.03	1.22	0.31	0.16		-1.02
gene005	-1.15	-0.86	1.21	1.62	1.12		-0.44
gene006	0.04	-0.12	0.31	0.16	0.17		0.08
gene007	2.95	0.45	-0.40	-0.66	-0.59		-0.76
gene008	-1.22	-0.74	1.34	1.50	0.63		-0.55
gene009	-0.73	-1.06	-0.79	-0.02	0.16		0.03
gene010	-0.58	-0.40	0.13	0.58	-0.09		-0.45
gene011	-0.50	-0.42	0.66	1.05	0.68		0.01
gene012	-0.86	-0.29	0.42	0.46	0.30		-0.63
gene013	-0.16	0.29	0.17	-0.28	-0.02		-0.04
gene014	-0.36	-0.03	-0.03	-0.08	-0.23		-0.21
gene015	-0.72	-0.85	0.54	1.04	0.84		-0.64
gene016	-0.78	-0.52	0.26	0.20	0.48		0.27
gene017	0.60	-0.55	0.41	0.45	0.18		-1.02
gene018	-0.20	-0.67	0.13	0.10	0.38		0.05
gene019	-2.29	-0.64	0.77	1.60	0.53		-0.38
gene020	-1.46	-0.76	1.08	1.50	0.74		-0.70
gene021	-0.57	0.42	1.03	1.35	0.64		-0.40
gene022	-0.11	0.13	0.41	0.60	0.23		0.19
gene...							
gene P	-1.79	0.94	2.13	1.75	0.23		-0.66

7070 x (27+11)

## Unpaired Data:

- Two groups of patients (ALL, AML).

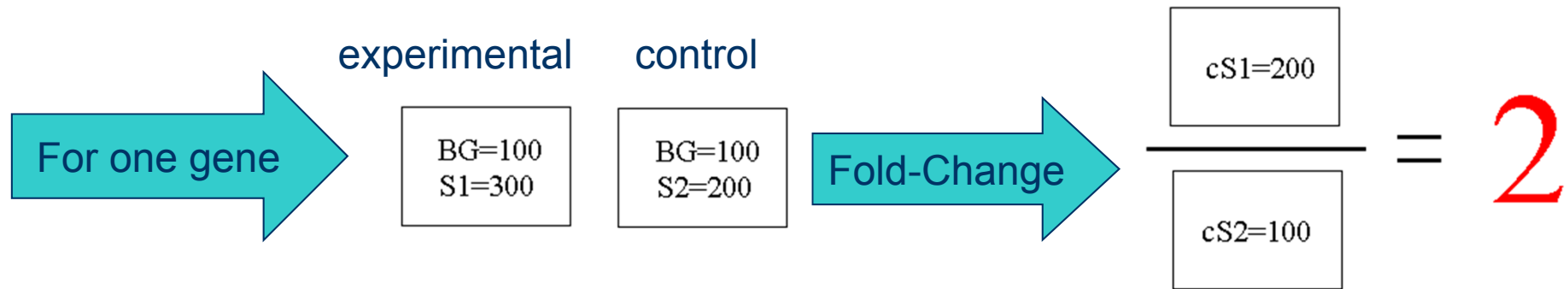
## Interests:

- To identify the genes that are **up-** or **down-regulated** in ALL relative to AML.
- (i.e., differentially expressed between the two groups.)

Golub, T.R et al. (1999) Molecular classification of cancer: class discovery and class prediction by gene expression monitoring. Science 286, 531--537.

Cancer Genomics Program at Whitehead Institute for Genome Research  
<http://www.broad.mit.edu/cgi-bin/cancer/datasets.cgi>

# Fold-Change Method (1)



- 1) Calculate fold-change.
- 2) Rank the genes.
- 3) Select genes.

# Fold-Change Method (2)

## ***Method 1: Select genes based on Numbers***

- average differential expression > **FC**.

## ***Problems:***

- **FC** is an arbitrary threshold.
- **FC** does not take into account **individuals** and **sample size**.

## ***Example:***

- s2 (200) close to BG (100), the difference could represent noise.
- credible: a gene is regulated 2-fold with 10000, 5000 units.

# Fold-Change Method (3)

## ***Method 2: Select genes based on %***

- Choose 5% of genes that have the **largest expression ratios**.

## ***Problems:***

- Possible that **no genes** have **statistically significantly** different gene expression.

# Hypothesis Testing

## *null hypothesis:*

*Biological Question*



*Statistical Formulation*

**H<sub>0</sub>**: No differential expressed.

**H<sub>0</sub>**: no difference in the mean gene expression in the group tested.

**H<sub>0</sub>**: The gene will have equal means across every group.

$$\mathbf{H_0}: \mu_1 = \mu_2 = \mu_3 = \mu_4 = \mu_5 (\dots = \mu_n)$$

# The $p$ -values

## ***$p$ -values***

- Probability of **false positives** (Reject  $H_0$  |  $H_0$  true).
- Probability of **observing your data** under the assumption that the null hypothesis is true.
- **$p$ -value** = 0.03: only a 3% chance of **drawing the sample** if the null hypothesis was true.

## ***Decision Rule***

- Reject  $H_0$  if  **$p$ -value** is less than alpha.
- $P < 0.05$  commonly used. (Reject  $H_0$ , the test is significant)
- The lower the  **$p$ -value**, the more significant.

## ***Use $p$ -value to select genes***

- Select differentially expressed genes based on their  **$p$ -value** (not FC).
- The **smaller the  $p$ -value**, the less likely it is that the observed data have occurred by chance, and the **more significant** the result.



# One Sample t-test

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## One sample t-test

$$H_0 : \mu = \mu_0$$

$$H_1 : \mu \neq \mu_0 \text{ (two-tailed).}$$

$\mu$ : population mean.

$\alpha$ : significant level (e.g., 0.05).

Test Statistic:

$$T = \frac{\bar{X} - \mu}{S/\sqrt{n}}, \quad t_0 = \frac{\bar{X} - \mu_0}{S/\sqrt{n}}$$

$\bar{X}$ : sample mean.

$S$ : sample standard deviation.

$n$ : number of observations in the sample.

- Reject  $H_0$  if  $|t_0| > t_{\alpha/2, n-1}$ .
- Power =  $1 - \beta$ .
- $(1 - \alpha)100\%$  Confidence Interval for  $\mu$ :  
$$\bar{X} - t_{\alpha/2} S/\sqrt{n} \leq \mu < \bar{X} + t_{\alpha/2} S/\sqrt{n}$$
- $p\text{-value} = P_{H_0}(|\mathbf{T}| > t_0), \mathbf{T} \sim t_{n-1}$ .

## Question

■ whether a gene is differentially expressed for a condition with respect to baseline expression?

■  $H_0: \mu=0$  (log ratio)

MA Table	exp01	exp02	exp03	exp04	exp05	exp...	exp <b>p</b>
gene001	-0.48	-0.42	0.87	0.92	0.67		-0.35
gene002	-0.39	-0.58	1.08	1.21	0.52		-0.58
gene003	0.87	0.25	-0.17	0.18	-0.13		-0.13

# Two Sample t-test (Unpaired)

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## Two Sample t-test (Unpaired)

$$H_0 : \mu_x - \mu_y = \mu_0$$

$$H_0 : \mu_x - \mu_y \neq \mu_0$$

$\alpha$ : significant level (e.g., 0.05).

Test Statistic:

$$t_0 = \frac{(\bar{X} - \bar{Y}) - \mu_0}{\sqrt{\frac{S_x^2}{n} + \frac{S_y^2}{m}}}$$

for homogeneous variances:

$$df = n + m - 2$$

for heterogeneous variances:

adjusted  $df$

Reject  $H_0$  if  $|t_0| > t_{\alpha/2, df}$

## Applied to a Gene From Leukemia Dataset

### *metallothionein IB*

- The gene **metallothionein IB** is on the Affymetrix array used for the leukemia data.

### *Two-sample t-test*

- $t = -3.4177$ ,  $p = 0.0016$ .

### *Conclusion*

- the expression of metallothionein IB is significantly higher in AML than in ALL at the 1% level.

# Two Sample t-test (Paired)

## Paired Sample t-test

$$H_0 : \mu_d = \mu_0$$

$$H_1 : \mu_d \neq \mu_0 \text{ (two-tailed).}$$

$\mu_d$ : mean of population differences.

$\alpha$ : significant level (e.g., 0.05).

Test Statistic:

$$T_d = \frac{\bar{d} - \mu_d}{S_d/\sqrt{n}}, \quad t_d = \frac{\bar{d} - \mu_0}{S_d/\sqrt{n}}$$

$\bar{d}$ : average of sample differences.

$S_d$ : standard deviation of sample difference

$n$ : number of pairs.

- Reject  $H_0$  if  $|t_d| > t_{\alpha/2, n-1}$ .
- Power =  $1 - \beta$ .
- $(1 - \alpha)100\%$  Confidence Interval for  $\mu_d$ :  

$$\bar{d} - t_{\alpha/2}S/\sqrt{n} \leq \mu_d < \bar{d} + t_{\alpha/2}S/\sqrt{n}$$
- $p\text{-value} = P_{H_0}(|\mathbf{T}| > t_d), \mathbf{T} \sim t_{n-1}$ .

## Applied to a gene From Breast Cancer Data

### ACAT2

- The gene acetyl-Coenzyme A acetyltransferase 2 (**ACAT2**) is on the microarray used for the breast cancer data.

### Paired t-test

- $t=3.22$ . (two-tailed)
- $p\text{-value} = 0.0045$ , which is significant at a 1% confidence level.

### Conclusion

- ACAT2 has been significantly **down-regulated** following chemotherapy at the 1% level.

## ***Be Normal***

- **paired t-test**,  
the distribution of the subtracted data that must be normal.
- **unpaired t-test**,  
the distribution of both data sets must be normal.

## ***How to Detect Normality***

- **Plots**: Histogram, Density Plot, QQplot,...
- **Test for Normality**: Jarque-Bera test, Lilliefors test, Kolmogorov-Smirnov test.

## ***Homogeneous***

- the variances of the two population are equal.
- **Test for equality of the two variances**: Variance ratio F-test.

# Other t-Statistics for Microarray Data 21/61

## B-statistic

Lonnstedt and Speed, *Statistica Sinica* 2002: parametric empirical Bayes approach.

- B-statistic is an estimate of the posterior log-odds that each gene is DE.
- B-statistic is equivalent for the purpose of ranking genes to the penalized t-statistic  $t = \frac{\bar{M}}{\sqrt{(a+s^2)/n}}$ , where  $a$  is estimated from the mean and standard deviation of the sample variances  $s^2$ .

$$M_{gj} | \mu_g, \sigma_g \sim N(\mu_g, \sigma_g^2)$$

$$B_g = \log \frac{P(\mu_g \neq 0 | M_{gj})}{P(\mu_g = 0 | M_{gj})}$$

## Penalized t-statistic

Tusher et al (2001, PNAS, SAM)

Efron et al (2001, JASA)

$$t = \frac{\bar{M}}{(a+s)/\sqrt{n}}$$

Lonnstedt, I. and Speed, T.P. Replicated microarray data. *Statistica Sinica*, 12: 31-46, 2002

## General Penalized t-statistic

(Lonnstedt et al 2001)

$$t = \frac{b}{s^* \times SE}$$

multiple regression model

## Penalized two-sample t-statistic

$$t = \frac{\bar{M}_A - \bar{M}_B}{s^* \times \sqrt{1/n_A + 1/n_B}}, \quad \text{where } s^* = \sqrt{a + s^2}$$

## Robust General Penalized t-statistic

# Significance Analysis of Microarrays (SAM)

# SAM: Significance Analysis of Microarrays

SAM does not do any normalization!

	A	B	C	D	E	F	G	H	I	J	K	L	M
1			1	1	2	2	1	2	2				
2	AFFX-Biol	100001	7.64252	-0.50242	-1.95964	10.1298	-10.77	-4.47036	-7.65613	7.58627			
3	AFFX-Biol	100002	38.1083	4.86575	7.87245	-13.5974	-9.79556	-13.4659	-8.91639	-5.07128			
4	AFFX-Biol	100003	21.1568	5.96949	3.20649	-4.74098	-3.70624	-12.351	-10.1714	0.63687			
5	AFFX-Biol	100004	187.22	-23.8126	16.7677	14.1087	-99.7636	-89.1146	-10.9241	5.51881			
6	AFFX-Biol	100005	64.135	53.612	1.97359	81.4896	-61.0625	-55.0031	-21.5555	-63.589			
7	AFFX-Biol	100006	43.2501	39.5881	-1.32047	-9.79668	-38.7409	-48.0725	3.76516	11.3272			
8	AFFX-Biol	100007	38.7908	191.508	-106.565	-13.9639	-35.704	-43.7045	-34.3788	4.03714			
9	AFFX-Cre	100008	676.819	483.54	109.054	-273.05	-482.572	-428.147	-37.5831	-48.6559			
10	AFFX-Cre	100009	731.028	559.376	54.8658	-397.179	-455.437	-502.652	-49.6559				
11	AFFX-Biol	100010	-45.0362	18.9389	-38.3608	14.3837	15.2486	-11.1804	16.2861	29.2213			
12	AFFX-Biol	100011	9.83463	-23.2836	21.3698	-12.8893	-14.4712	-0.90914	18.5813	1.11804			
13	AFFX-Biol	100012	-6.23839	1.85207	-38.8098	17.2125	15.6523	10.7563	7.78434	-8.11804			
14	AFFX-Biol	100013	-76.144	-13.8113	-69.4507	32.9507	7.98937	77.7786	16.7475				
15	AFFX-Biol	100014	-9.927	-10.8887	18.4007	-6.39521	33.5367	-24.7388	13.0096	-12.1362			
16	AFFX-Biol	100015	-13.4207	-10.9653	17.4829	-14.5717	0.44426	10.7131	-12.1362	22.2213			
17	AFFX-Biol	100016	5.39054	6.5492	0.18387	-28.6276	29.215	7.45537	-14.9219	-5.07128			
18	AFFX-Cre	100017	-4.37465	-9.76979	-24.063	2.15746	15.4683	5.19561	7.34648	81.4896			
19	AFFX-Cre	100018	4.7197	-26.8786	-46.2658	22.7512	5.88362	16.6602	22.2139	0.63687			
20	hum_alu	100019	221.097	886.186	510.722	272.353	-661.247	-778.351	-225.942	-22.2213			
21	AFFX-Dap	100020	-20.7535	-12.1355	-12.8156	8.86241	1.87227	18.5626	2.52359	13.1471			
22	AFFX-Dap	100021	18.6053	-132.26	7.50856	29.2997	26.1404	26.2445	13.1471	11.3272			
23	AFFX-Dap	100022	12.0019	8.4811	7.23563	-7.32278	9.25858	-6.47511	-7.18451	-15.9266			
24	AFFX-Lys	100023	-8.29982	-0.29207	-2.60111	-1.5994	-3.00659	4.69777	5.3647	5.07128			
25	AFFX-Lys	100024	-20.6604	-15.9117	-3.7555	9.61326	-2.12353	24.128	7.91817	0.63687			
26	AFFX-Lys	100025	5.48261	-14.888	8.71913	0.38102	7.81774	-6.83776	0.67544	-1.11804			
27	AFFX-Phe	100026	-3.1287	-3.24958	-13.0685	-0.72685	-4.15108	7.03428	10.8395	6.47511			
28	AFFX-Phe	100027	-19.0192	-35.2835	7.16029	1.93699	18.0854	16.3724	13.7229	-2.1362			
29	AFFX-Phe	100028	14.2111	-20.6827	-8.18915	-16.9598	-6.84679	7.96765	1.79755	28.6276			
30	AFFX-Thr	100029	-24.7369	-13.9763	0.07918	-15.7667	1.1509	-5.14414	41.3796	17.4829			
31	AFFX-Thr	100030	4.9279	-0.69081	-10.0206	2.43874	-5.08952	2.78521	1.91932	3.76516			
32	AFFX-Thr	100031	11.3658	-26.1803	-19.0458	5.1987	5.30921	-0.67223	7.01417	17.4829			
33	AFFX-Trpr	100032	16.9344	10.4859	-3.26394	1.34133	-29.4473	-0.41763	1.78204	2.1362			
34	AFFX-Trpr	100033	24.6975	-39.849	36.4301	49.9076	26.5942	38.7627	30.4789	-16.7475			
35	AFFX-Trpr	100034	-5.37853	-35.6841	2.68815	25.7192	-43.7661	15.9268	22.9266	1.11804			

<http://www-stat.stanford.edu/~tibs/SAM/>

Significance Analysis of Microarrays

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Choose Response Type

- Quantitative Response
- Two class, Unpaired data
- Censored Survival data
- Multiclass Response
- One class Response
- Paired data

Data in Log Scale?  Logged (base 2)  Unlogged

Web Link Option  Clone ID  Name  Accession No.  UniGene Cluster ID

Number of Permutations: 100 (dropdown), 200 (dropdown)

Additional Sheets: Sheet2, Sheet3

Imputation Engine  K-Nearest Neighbors Imputer  Row Average Imputer

Number of Neighbors: 10

Random Number Seed: 1234567

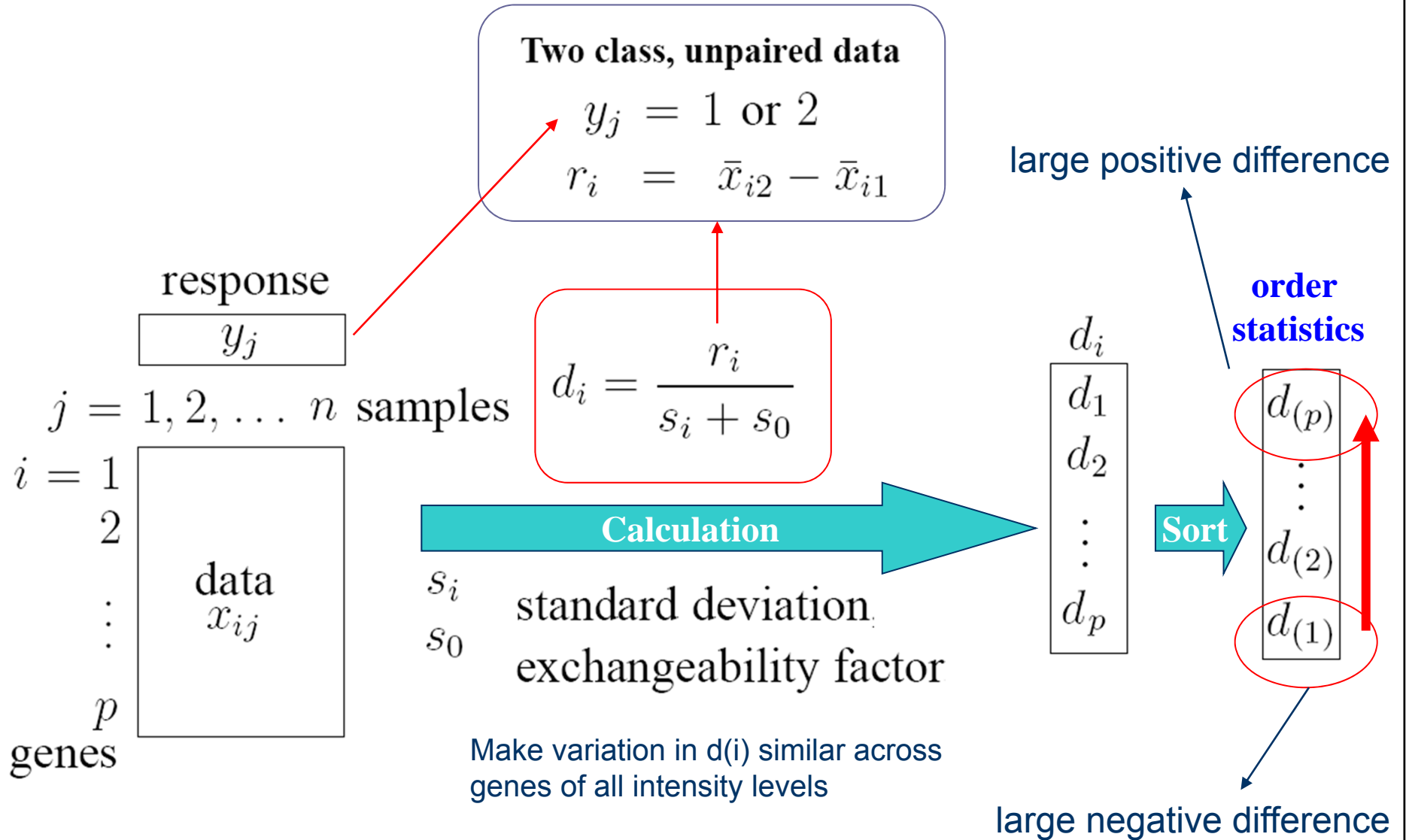
Tusher VG, Tibshirani R, Chu G.(2001). Significance analysis of microarrays applied to the ionizing radiation response. *Proc Natl Acad Sci* 98(9):5116-21.

# SAM: Response Type

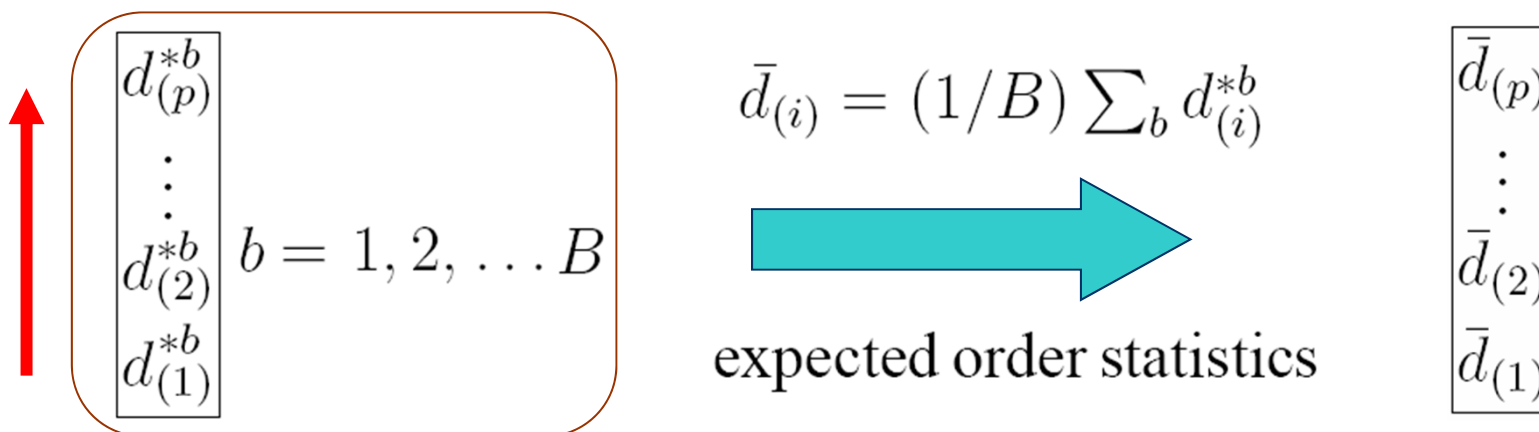
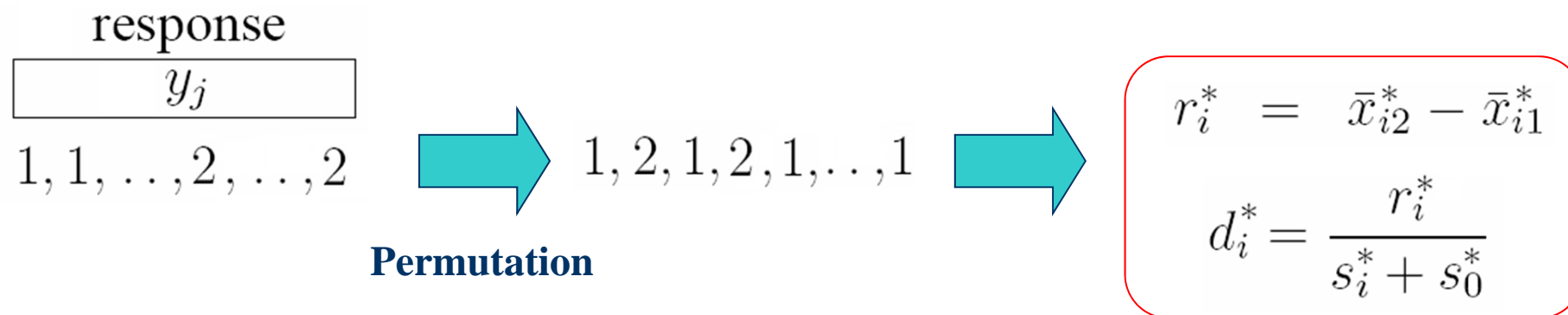
Response type	Coding
Quantitative	Real number eg 27.4 or -45.34
Two class (unpaired)	Integer 1, 2
Multiclass	Integer 1, 2, 3, ...
Paired	Integer -1, 1, -2, 2, etc. eg - means Before treatment, + means after treatment -1 is paired with 1, -2 is paired with 2, etc.
Survival data	(Time, status) pair like (50,1) or (120,0) First number is survival time, second is status (1=died, 0=censored)
One class	Integer, every entry equal to 1
Time course, two class (unpaired)	(1 or 2)Time(t)[Start or End]
Time course, two class (paired)	(-1 or 1 or -2 or 2 etc)Time(t)[Start or End]
Time course, one class	1Time(t)[Start or End]
Pattern discovery	eigengenek, where k is one of 1,2,... number of arrays



# SAM: Significance Analysis of Microarrays



# SAM: Expected Test Statistics



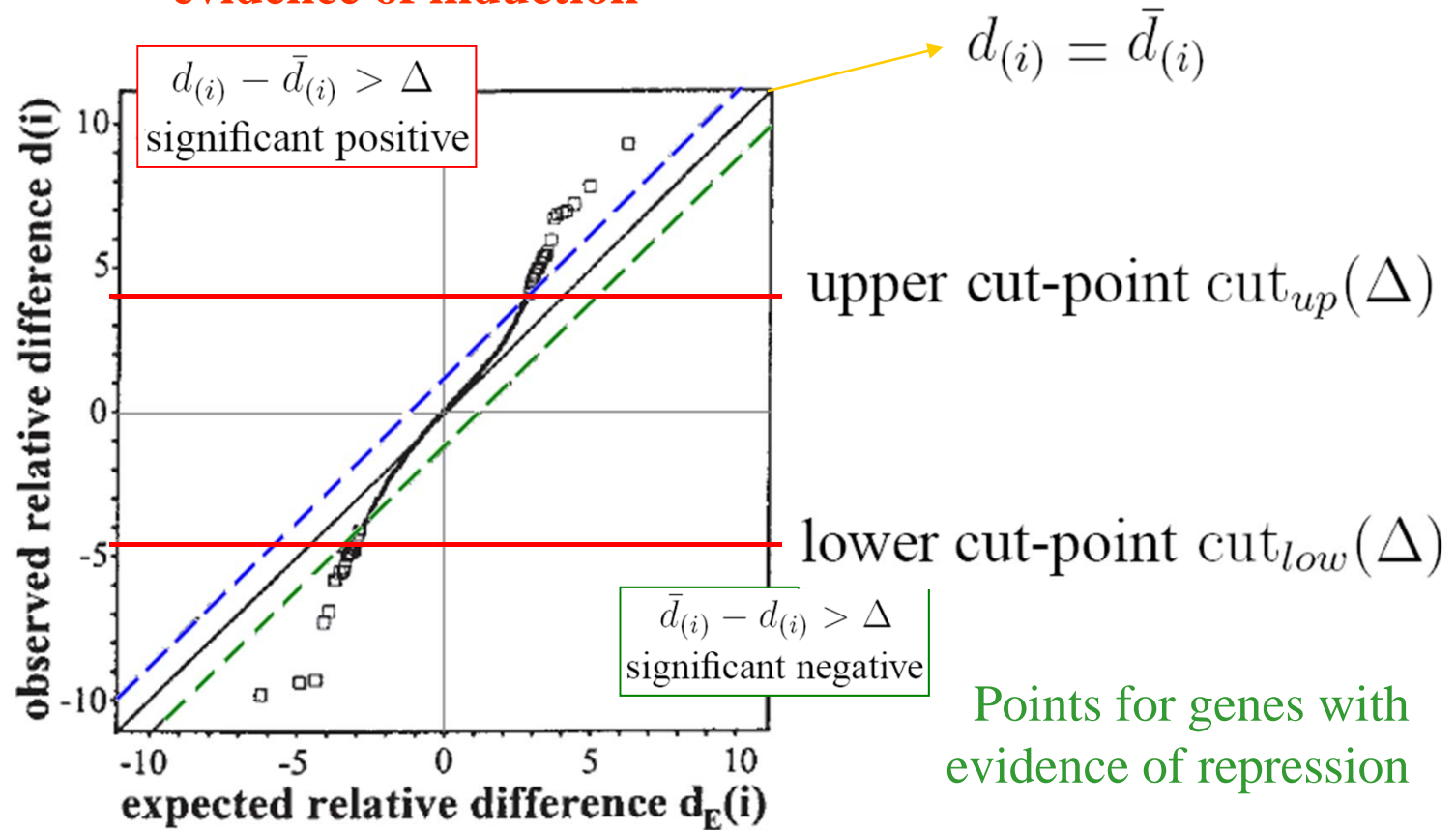
# SAM Plot

**Points for genes with evidence of induction**

$d_{(p)}$   
⋮  
 $d_{(2)}$   
 $d_{(1)}$

vs

$\bar{d}_{(p)}$   
⋮  
 $\bar{d}_{(2)}$   
 $\bar{d}_{(1)}$



**Points for genes with evidence of repression**

# Gene Set Enrichment Analysis (GSEA)

# Gene Sets

- Whether some **functionally predefined classes of genes** are differentially expressed?
- **A gene set (a gene class)**
  - a group of genes with related **functions**.
  - sets of genes or **pathways**, for their association with a **phenotype**.
  - identified from a **prior** biological knowledge.
  - may better reflect the **true underlying biology**.
  - may be more appropriate **units** for analysis.
- **Examples:** metabolic pathway, protein complex, or GO (gene ontology) category.
- **Various database:** BioCarta, KEGG, Gene Ontology

# Gene Set Analysis

- A statistical **test** to determine **significance of a gene class** is referred to as gene class testing (**GCT**) or gene set analysis (**GSA**).
  - The common approach to the GSA is first to **identify a list of genes** that express differently among two groups of samples.
  - The list of differentially expressed genes is then examined with **biologically pre-defined gene sets** to determine whether any set is overrepresented in the list compared with the whole list.
- GSA is becoming a powerful alternative to individual-gene analysis.

# Literature Review

- **Global** Test (global model with random effects): Goeman et al., **2004**
- **ANCOVA** Global Test: Mansmann and Meister, **2005**
- **GSEA**: Subramanian et al., **2005**
- Principal component analysis (**PCA**): Kong et al., **2006**
- Significance analysis of microarray for gene sets (**SAM-GS**): Dinu et al., **2007**
- Gene list analysis with prediction accuracy (**GLAPA**): Maglietta et al., **2007**
- **Maxmean**: Efron and Tibshirani, **2007**
- **exSAM-GS**: Adewale et al. **2008**
- Multivariate analysis of variance test (**MANOVA**, modified Hotelling's T2): Tsai and Chen, **2009**
- Linear combination Test (**LCT**): Wang, Dinu, Liu and Yasui, **2011**
  
- **Review**: Allison et al. 2006, Goeman and Buhlmann 2007, Nam and Kim **2008**.

# Gene Set Enrichment Analysis (GSEA)

## GSEA (Subramanian et al., *PNAS*, 2005)

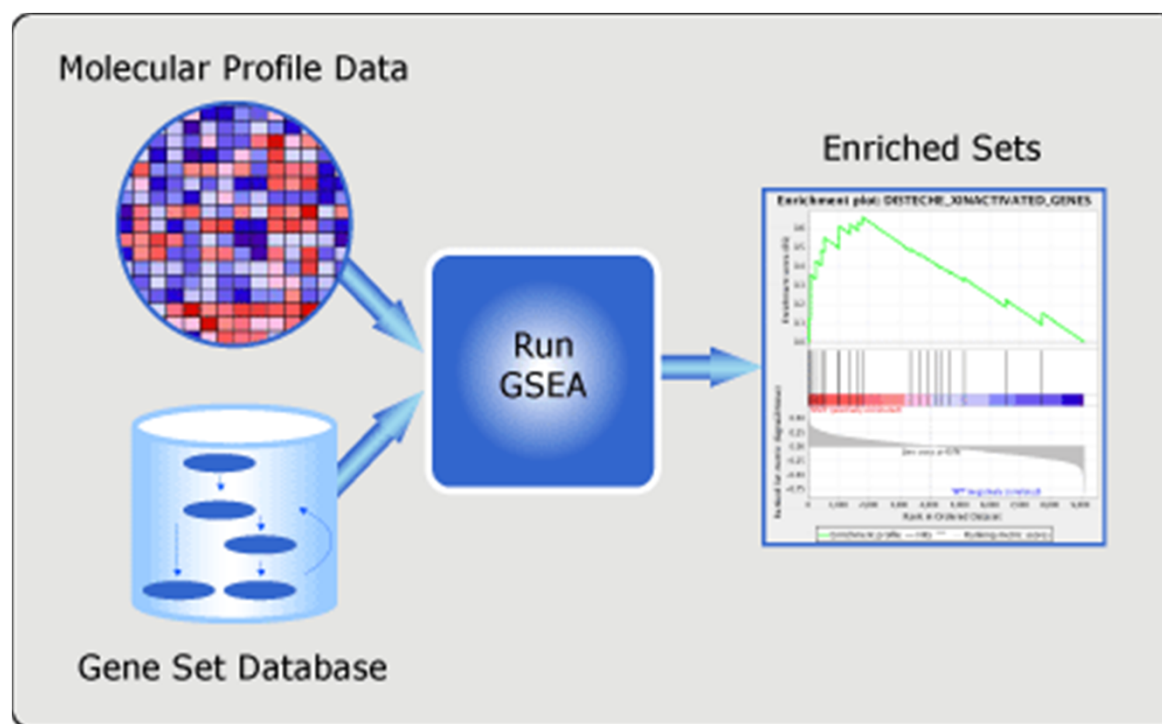
[Gene set enrichment analysis: A knowledge-based approach for ...](#) 🔍

- [ 翻譯這個網頁 ]

由 A Subramanian 著作 - 2005 - 被引用 2442 次 - 相關文章

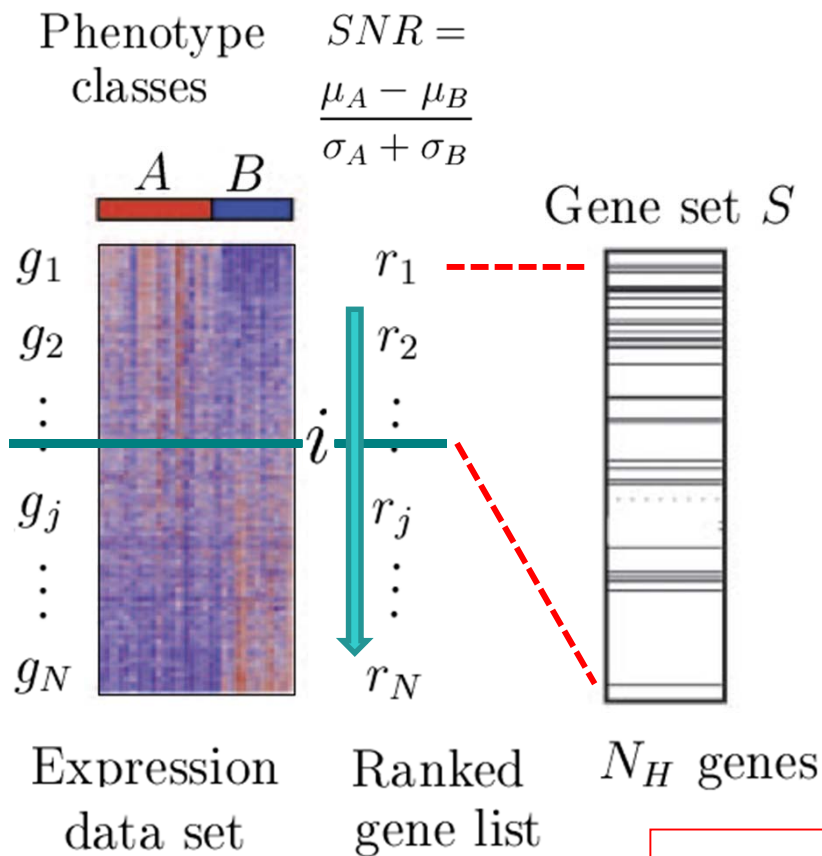
25 Oct 2005 ... Overview of **GSEA**. **GSEA** considers experiments with genomewide expression profiles from samples belonging to two classes, labeled 1 or 2. ...

[www.pnas.org/content/102/43/15545.full](http://www.pnas.org/content/102/43/15545.full) - 類似內容





# Step 1: Enrichment Score (ES)



Evaluate the fraction of genes in  $S$  ("hits") weighted by their correlation and the fraction of genes not in  $S$  ("misses") present up to a given position  $i$  in  $L$ .

$$P_{\text{hit}}(S, i) = \sum_{g_j \in S, j \leq i} \frac{|r_j|^p}{N_R} \quad N_R = \sum_{g_j \in S} |r_j|^p$$

$$P_{\text{miss}}(S, i) = \sum_{g_j \notin S, j \leq i} \frac{1}{N - N_H}$$

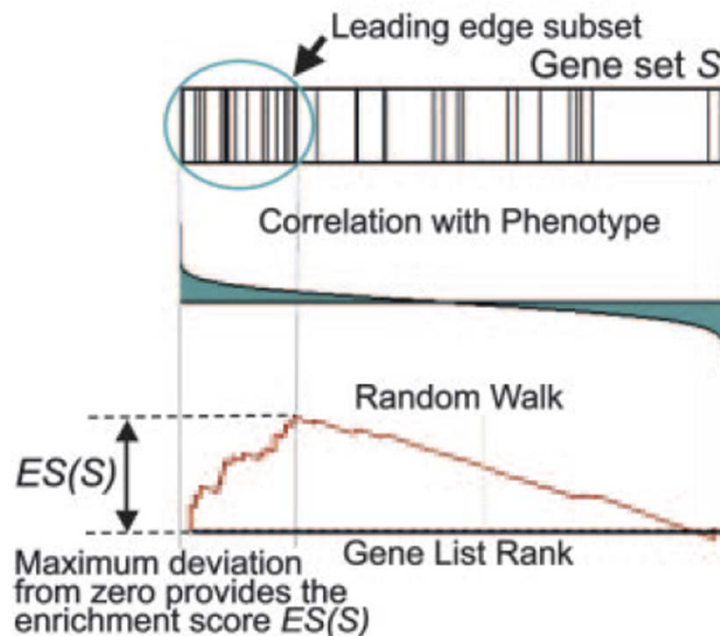
$$ES(S) = \max_i \{ P_{\text{hit}}(S, i) - P_{\text{miss}}(S, i) \}$$

$ES(S) > 0$ : gene set enrichment at the top of the ranked list.

$ES(S) < 0$ : gene set enrichment at the bottom of the ranked list.

# Enrichment Plot

$$ES(S) = \max_i \{ P_{\text{hit}}(S, i) - P_{\text{miss}}(S, i) \}$$

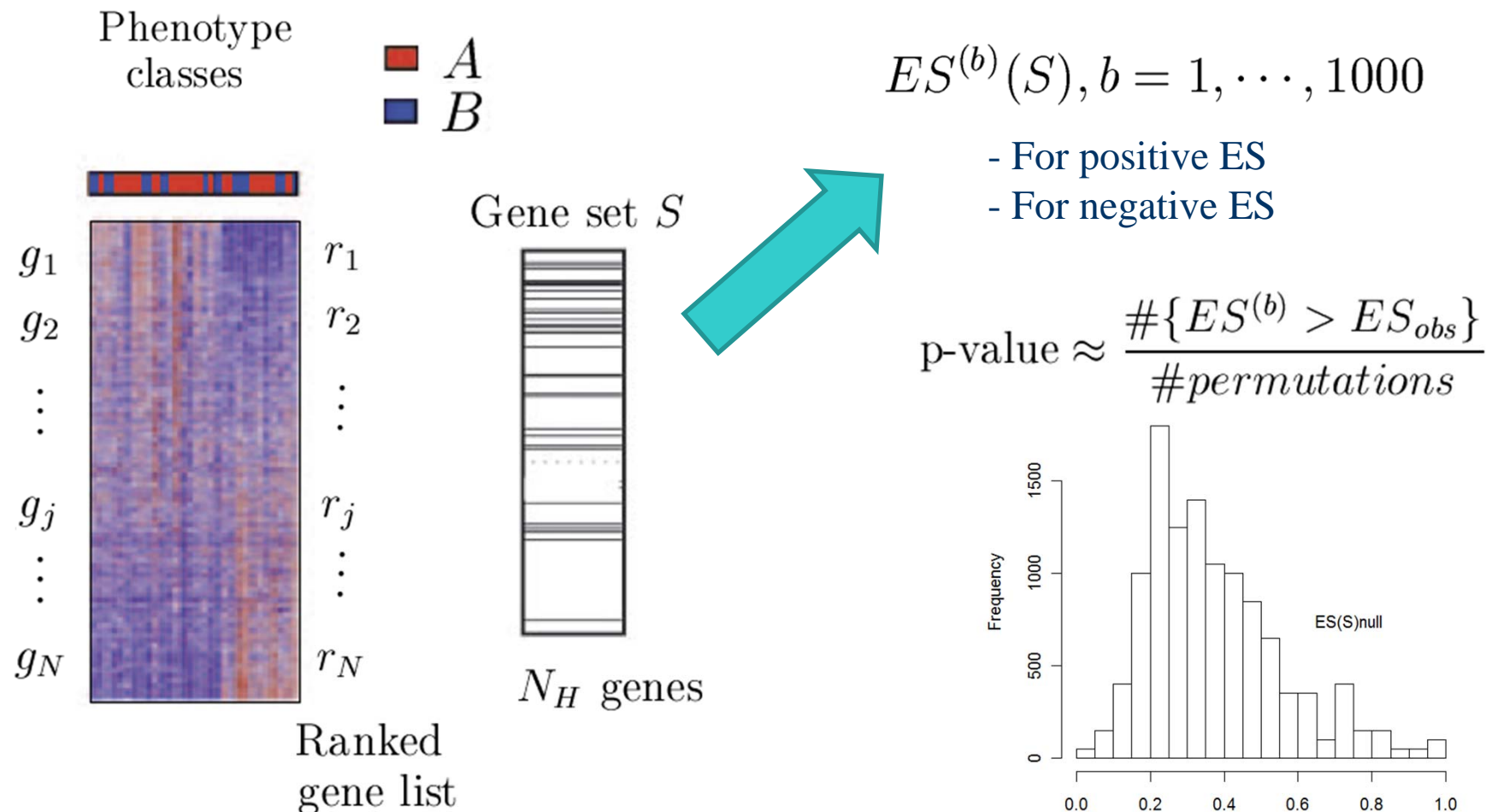


Subramanian et al., PNAS 102(43), 15545–15550 (2005).

- If  $p=0$
  - $ES(S)$  = Kolmogorov-Smirnov statistic.
  - Set  $p=1$ .
- For a randomly distributed  $S$ ,  $ES(S)$  will be relatively small.
  - It is concentrated at the top or bottom of the list, or nonrandomly distributed, then  $ES(S)$  will be correspondingly high.

# Step 2: Estimating Significance

Assess the significance of an observed  $ES$  by comparing it with the set of score  $ES_{null}$  computed with randomly assigned phenotype.

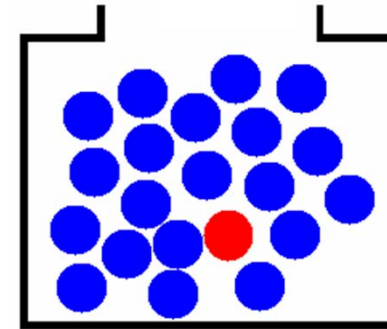


# Step 3: Multiple Hypothesis Testing

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***X: false positive gene***

$$\begin{aligned}P(X \geq 1) \\&= 1 - P(X = 0) \\&= 1 - 0.95^n\end{aligned}$$



Population


Number of genes tested (N)	False positives incidence	Probability of calling 1 or more false positives by chance ( $100(1-0.95^N)$ )
1	1/20	5%
2	1/10	10%
20	1	64%
100	5	99.4%

# Step 3: Multiple Hypothesis Testing

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- When an **entire database of gene sets** is evaluated, we adjust the estimated significance level to account for multiple hypothesis testing.
  - Normalize ES for each gene set to account for the size of the set (**NES**).
  - Control the proportion of false positives by calculating the false discovery rate (**FDR**) corresponding to each NES.
- **FDR**
  - It is the estimated probability that a set with a given NES represents a false positive finding.
  - it is computed by comparing the tails of the **observed** and **null** distributions for the NES.

# GSEA Software


Gene Set Enrichment Analysis
[GSEA Home](#)
[Downloads](#)
[Molecular Signatures Database](#)
[Documentation](#)
[Contact](#)

### Overview

**Gene Set Enrichment Analysis (GSEA)** is a computational method that determines whether an a priori defined set of genes shows statistically significant, concordant differences between two biological states (e.g. phenotypes).

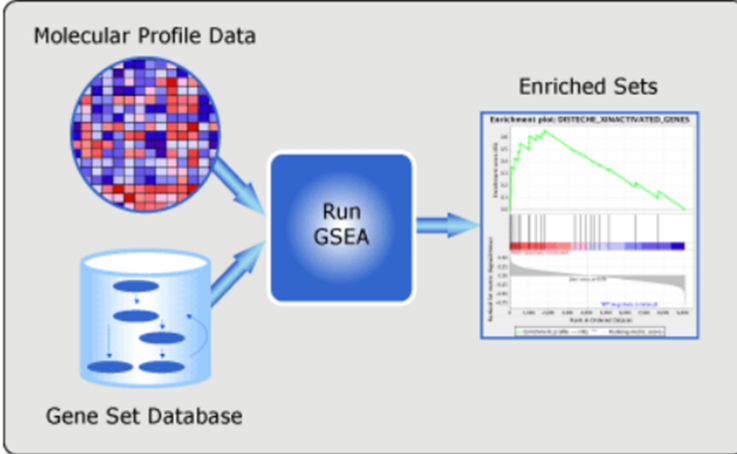
From this web site, you can:

- ▶ **Download** the GSEA software and additional resources to analyze, annotate and interpret enrichment results.
- ▶ **Explore the Molecular Signatures Database (MSigDB)**, a collection of annotated gene sets for use with GSEA software.
- ▶ **View documentation** describing GSEA and MSigDB.

### What's New

29-Mar-2011: Version 3.7 of this web site has been released. We reviewed and updated the text for improved clarity, and fixed some minor bugs. No changes have been made to the MSigDB data or the GSEA desktop software.

09-Sep-2010: We are pleased to announce the release of version 3.0 of the Molecular Signatures Database (MSigDB). It contains an extensively revised and expanded version of the C2 collection of canonical pathways and literature gene sets. In addition, we have made several enhancements to the GSEA and MSigDB website, and fixed an error in the Compute Overlaps procedure. For further details, see the [release notes](#).



The diagram illustrates the GSEA workflow. On the left, 'Molecular Profile Data' (represented by a heatmap) and 'Gene Set Database' (represented by a cylinder icon) are inputs to a central box labeled 'Run GSEA'. An arrow points from 'Run GSEA' to the right, where 'Enriched Sets' are displayed. The 'Enriched Sets' output includes a line graph showing enrichment scores and a corresponding heatmap below it.

### Registration

Please [register](#) to download the GSEA software and view the MSigDB gene sets. After registering, you can log in at any time using your email address. Registration is free. Its only purpose is to help us track usage for reports to our funding agencies.

### Contributors

GSEA and MSigDB are maintained by the [GSEA team](#) with the support of our MSigDB Scientific Advisory Board. Our thanks to our many contributors. Funded by: National Cancer Institute, National Institutes of Health, National Institute of General Medical Sciences.

# Downloads (register first!)

**User Guide:** <http://www.broadinstitute.org/gsea/doc/GSEAUUserGuideFrame.html>



**Quick Tour:** [http://www.broadinstitute.org/gsea/doc/desktop\\_tutorial.jsp](http://www.broadinstitute.org/gsea/doc/desktop_tutorial.jsp)

## Downloads

The GSEA software and source code and the Molecular Signatures Database (MSigDB) are freely available to individuals in both academia and industry for internal research purposes. Please see the [GSEA/MSigDB license](#) for more details.

### Software

There are several options for GSEA software. All options implement exactly the same algorithm. Usage recommendations and installation instructions are listed below. Current Java implementations of GSEA require Java 1.6 or higher. If your computer has Java 1.5 and cannot upgrade to Java 1.6, please [see the FAQ](#).

<b>javaGSEA Desktop Application</b>	<ul style="list-style-type: none"> <li>▶ Easy-to-use graphical user interface</li> <li>▶ Runs on any desktop computer (Windows, Mac OS X, Linux etc.) that supports Java1.6+</li> <li>▶ Produces richly annotated reports of enrichment results</li> <li>▶ Integrated gene sets browser to view gene set annotations, search for gene sets and map gene sets between platforms</li> <li>▶ <b>The GSEA team suggests always starting GSEA by using these Launch buttons, or by clicking the icon that the application installs on your desktop, in order to ensure optimal memory allocation</b></li> </ul>	Launch with 512Mb memory  Launch with 1Gb memory 
<b>javaGSEA Java Jar file</b>	<ul style="list-style-type: none"> <li>▶ Command line usage</li> <li>▶ Runs on any platform that supports Java1.6+</li> <li>▶ We recommend using the 'Launch' buttons above instead of this mode for most users</li> </ul>	download <a href="#">gsea2-2.07.jar</a>
<b>GSEA Java Source Code Java source files</b>	<ul style="list-style-type: none"> <li>▶ 100% Java implementation of GSEA</li> <li>▶ Incorporate GSEA into your own data analysis pipeline</li> <li>▶ Programmatically call the open source GSEA java API</li> </ul>	download <a href="#">gsea2_distrib-2.04.zip</a>
<b>R-GSEA R Script</b>	<ul style="list-style-type: none"> <li>▶ Usage from within the R programming environment</li> <li>▶ Easily inspect, learn and tweak the algorithm</li> <li>▶ Incorporate GSEA into your own data analysis pipeline</li> <li>▶ Programmatically call the open source GSEA R API</li> <li>▶ <a href="#">Click here to learn more about the R-GSEA script</a></li> </ul>	download <a href="#">GSEA-P-R.1.0.zip</a>
<b>GenePattern GSEA Module</b>	<ul style="list-style-type: none"> <li>▶ Use GSEA from within GenePattern</li> <li>▶ Use GSEA in concert with a large suite of other analytics found in GenePattern (a powerful and flexible analysis platform developed at the Broad Institute)</li> </ul>	<a href="#">GenePattern site</a>

# Molecular Signatures database (MsigDB)

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The screenshot shows the MsigDB website interface. At the top left is the GSEA logo (Gene Set Enrichment Analysis). The navigation bar includes links for GSEA Home, Downloads, Molecular Signatures Database (which is highlighted), Documentation, and Contact. A left sidebar menu lists: MSigDB Home, About Collections, Browse Gene Sets, Search Gene Sets, Investigate Gene Sets, View Gene Families, and Help. The main content area features the MSigDB logo and the title 'Molecular Signatures Database v3.0'. It is divided into three main sections: Overview, Registration, and Current Version on the left; Collections on the right. The Overview section describes the database as a collection of annotated gene sets for use with GSEA software and lists actions like Search, Browse, Examine, Download, and Investigate. The Collections section lists five major categories: c1 positional gene sets, c2 curated gene sets, c3 motif gene sets, c4 computational gene sets, and c5 GO gene sets. The Registration section explains that users must register to download GSEA software and view gene sets. The Current Version section is partially visible at the bottom.

**GSEA**  
Gene Set Enrichment Analysis

GSEA Home Downloads **Molecular Signatures Database** Documentation Contact

▶ MSigDB Home  
▶ About Collections  
▶ Browse Gene Sets  
▶ Search Gene Sets  
▶ Investigate Gene Sets  
▶ View Gene Families  
▶ Help

**MSigDB**  
Molecular Signatures Database

## Molecular Signatures Database v3.0

### Overview

The Molecular Signatures Database (MSigDB) is a collection of annotated gene sets for use with GSEA software. From this web site, you can

- ▶ **Search** for gene sets by keyword.
- ▶ **Browse** gene sets by name or collection.
- ▶ **Examine** a gene set and its annotations. See, for example, the [ANGIOGENESIS gene set page](#).
- ▶ **Download** gene sets.
- ▶ **Investigate** gene sets:
  - ▶ **Compute overlaps** between your gene set and gene sets in MSigDB.
  - ▶ **Categorize** members of a gene set by gene families.
  - ▶ **View the expression profile** of a gene set in any of the three provided public expression compendia.

### Registration

Please [register](#) to download the GSEA software and view the MSigDB gene sets. After registering, you can log in at any time using your email address. Registration is free. Its only purpose is to help us track usage for reports to our funding agencies.

### Current Version

### Collections

The MSigDB gene sets are divided into five major collections:

- c1 positional gene sets** for each human chromosome and each cytogenetic band.
- c2 curated gene sets** from online pathway databases, publications in PubMed, and knowledge of domain experts.
- c3 motif gene sets** based on conserved *cis*-regulatory motifs from a comparative analysis of the human, mouse, rat and dog genomes.
- c4 computational gene sets** defined by expression neighborhoods centered on 380 cancer-associated genes.
- c5 GO gene sets** consist of genes annotated by the same GO terms.



# Example Datasets

## Example Datasets

DATASET	DESCRIPTION	RELEVANT DATA ( <i>save link to download</i> )	REFERENCE
<b>Gender</b>	Transcriptional profiles from male and female lymphoblastoid cell lines Results of C1 GSEA analysis of this dataset Results of C2 GSEA analysis of this dataset	Gender_hgu133a.gct Gender_collapsed.gct Gender.cls	<i>Unpublished</i>
<b>p53</b>	Transcriptional profiles from p53+ and p53 mutant cancer cell lines Results of C2 GSEA analysis of this dataset	P53_hgu95av2.gct P53_collapsed.gct P53.cls	<i>Unpublished</i>
<b>Diabetes</b>	Transcriptional profiles of smooth muscle biopsies of diabetic and normal individuals Results of C2 GSEA analysis of this dataset	Diabetes_hgu133a.gct Diabetes_collapsed.gct Diabetes.cls	<i>Mootha et al. (2003) Nat Genet 34 (3): 267-73</i>
<b>Leukemia</b>	Transcriptional profiles from leukemias - ALL and AML Results of C1 GSEA analysis of this dataset	Leukemia_hgu95av2.gct Leukemia_collapsed.gct Leukemia.cls	<i>Armstrong et al. (2002) Nat Genet 30(1): 41-7.</i>
<b>Lung cancer</b>	Transcriptional profiles from two independent lung cancer outcome datasets	Lung_Michigan_hu6800.gct Lung_Michigan_collapsed.gct Lung_Mich_collapsed_common_Mich_Bost.gct Lung_Michigan.cls  Lung_Boston_hgu95av2.gct Lung_Boston_collapsed.gct Lung_Bost_collapsed_common_Mich_Bost.gct Lung_Boston.cls	<i>Beer et al. (2002) Nat Med 8(8): 816-24.</i> <i>Bhattacharjee et al. (2001) Proc Natl Acad Sci U S A 98(24): 13790-5.</i>
<b>Gene sets</b>	Archived gene sets from the GSEA PNAS 2005 publication.  <b>Note:</b> This collection of gene sets is not the latest version, so when beginning a new analysis you might want to download the current collection of gene sets from the <a href="#">Downloads</a> page.	C1.symbols.gmt (positional) C2.symbols.gmt (curated)	<i>Subramanian and Tamayo PNAS 2005</i>

# P53 Status in Cancer Cell Lines

- NCI-60 collection of cancer cell lines.
  - Past usage: to **identify targets of the transcription factor p53**, which regulates gene expression in response to various signals of cellular stress.
  - The **mutational status** of the p53 gene has been reported for 55 of the NCI-60 cell lines: **17 normal**, and **33 mutations**.

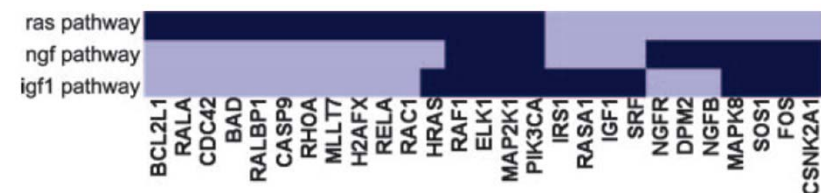
**GSEA:** to identify functional gene sets (C2) correlated with p53 status.

- (p53+ > p53-): five gene sets.
- (p53- > p53+): one sig. gene set + two gene sets.

Gene set	FDR
Data set: p53 status in NCI-60 cell lines	
Enriched in p53 mutant	
Ras signaling pathway	0.171
Enriched in p53 wild type	
Hypoxia and p53 in the cardiovascular system	<0.001
Stress induction of HSP regulation	<0.001
p53 signaling pathway	<0.001
p53 up-regulated genes	0.013
Radiation sensitivity genes	0.078

**LES:** (p53- > p53+) whether three gene sets reflect a common biological function.

- resulting 16, 11, 13 genes.
- 4 gene in common: MAPK pathway.



**Fig. 3.** Leading edge overlap for p53 study. This plot shows the *ras*, *ngf*, and *igf1* gene sets correlated with P53<sup>-</sup> clustered by their leading-edge subsets indicated in dark blue. A common subgroup of genes, apparent as a dark vertical stripe, consists of MAP2K1, PIK3CA, ELK1, and RAF1 and represents a subsection of the MAPK pathway.

# Input for GSEA (1)

## Demo Dataset: Transcriptional profiles from p53+ and p53 mutant cancer cell lines

Data File	Content	Format	Source
Expression dataset	Contains features (genes or probes), samples, and an expression value for each feature in each sample. Expression data can come from any source (Affymetrix, Stanford cDNA, and so on).	res, gct, pcl, or txt	You create the file.
Phenotype labels	Contains phenotype labels and associates each sample with a phenotype.	cls	You create the file or have GSEA create it for you.

### P53\_hgu95av2.gct

	A	B	C	D	E
1	#1.2				
2	12625	50			
3	NAME	Description	786-0	BT-549	C
4	100_g_at	na	215.37	132.94	
5	1000_at	na	328.68	234.31	
6	1001_at	na	39.64	8.84	
7	1002_f_at	na	18.46	12.14	
8	1003_s_at	na	60.83	30.19	
9	1004_at	na	68.02	54.41	
10	1005_at	na	610.35	65.93	
11	1006_at	na	12.79	3.57	
12	1007_s_at	na	354.92	208.33	

### P53\_collapsed\_symbols.gct

	A	B	C	D	E	F
1	#1.2					
2	10100	50				
3	NAME	DESCRIPTION	786-0	BT-549	CCRF-CEM	COLO 205
4	TACC2	na	46.05	82.17	16.87	98.6
5	C14orf132	na	108.34	59.04	25.61	33.11
6	AGER	na	42.2	25.75	76.01	40.41
7	32385_at	na	7.43	13.94	8.55	21.13
8	RBM17	na	11.4	3	3.16	2.34
9	DYT1	na	148.09	317.17	316.66	147.23
10	CORO1A	na	8.62	9.12	1572.53	5.91
11	WT1	na	206.74	136.71	141.34	129.09

### P53.cls

	A	B	C	D	E	F	G	H	I	J	K	L	M
1	50	2	1										
2	#MUT	WT											
3	MUT	MUT	MUT	MUT	MUT	MUT	MUT	MUT	MUT	MUT	MUT	MUT	MUT

AU	AV	AW	AX
WT	WT	WT	WT

...

# Input for GSEA (2)

Data File	Content	Format	Source
Gene sets	Contains one or more gene sets. For each gene set, gives the gene set name and list of features (genes or probes) in that gene set.	gmx or gmt	You use the files on the Broad ftp site, export gene sets from the Molecular Signature Database (MSigDb) or create your own gene sets file.
Chip annotations	Lists each probe on a DNA chip and its matching HUGO gene symbol. Optional for the gene set enrichment analysis.	Chip	You use the files on the Broad ftp site, download the files from the GSEA web site, or create your own chip file.

## c1.symbols.gmt

	A	B	C	D	E	F	G
1	chr10q24	Cytogenetic band	PITX3	SPFH1	NEURL	C10orf12	NDUF1
2	chr5q23	Cytogenetic band	ALDH7A1	IL13	8-Sep	IRF1	ACSL6
3	chr8q24	Cytogenetic band	HAS2	LRRRC14	TSTA3	DGAT1	RECQ
4	chr16q24	Cytogenetic band	RPL13	GALNS	FANCA	CPNE7	COTL1
5	chr13q14	Cytogenetic band	AKAP11	ARL11	ATP7B	C13orf1	C13orf
6	chr7p21	Cytogenetic band	ARL4A	SCIN	GLCCI1	SP8	SOST1
7	chr10q23	Cytogenetic band					

## c2.symbols.gmt

	A	B	C	D	E	F
1	41bbPathway	TNF-type receptor 4-1BB is	IL2	TRAF2	MAP3K1	IFNG
2	ace2Pathway	Angiotensin-converting enz	COL4A3	COL4A1	COL4A5	AGT
3	acetaminophenPathway	Acetaminophen selectively	CYP3A	PTGS2	CYP1A2	PTGS1
4	achPathway	Nicotinic acetylcholine rece	RAPSN	TERT	MUSK	PTK2
5	actinYPathway	The Arp 2/3 complex localiz	ACTR3	ABI-2	WASL	ARPC4
6	agpcrPathway	G-protein coupled receptor:	PRKAR2A	GNGT1	PRKACB	PRKCB1
7	ahspPathway	Alpha-hemoglobin stabilizing	CPO	HMBS	ALAS1	ERAF
8	aifPathway	BLACK	ADPRT	PDCD8	BCL2L1	CYCS
9	akap13Pathway	A-kinase anchor protein 13	EDG4	PRKACG	PRKAR2A	PRKACB

# Launch GSEA

GSEA v2.07 (Gene set enrichment analysis -- Broad Institute)

File Options Downloads Tools Help

**Steps in GSEA analysis**

Load data

Run GSEA

Leading edge analysis

**Gene set tools**

Chip2Chip mapping

Browse MSigDB

Analysis history

**GSEA reports**

Processes: click 'status' field for results

Name	Status

Show results folder

Home

**Steps in GSEA**

- What you need for GSEA:**
  - Expression dataset
  - Phenotype file
  - Gene sets (from MSigDB or your own gene sets)
- Run GSEA**
  - Start with default parameters
  - If you want to collapse probes to genes, specify chip platform
- View results & leading edge**

**Enrichment in phenotype: MS17 (22 examples)**

- 160 / 308 gene sets are upregulated in phenotype MS17
- 10 gene sets are significantly enriched at nominal pvalue = 1%
- 20 gene sets are significantly enriched at nominal pvalue = 5%
- 4 gene sets are significant at FDR = 25%
- Subset of enrichment results
- Ordered enrichment results in MS17 format
- Ordered enrichment results in MS17 format (tab delimited text)

**Enrichment in phenotype: MS17 (17 examples)**

- 140 / 308 gene sets are upregulated in phenotype MS17
- 6 gene sets are significantly enriched at nominal pvalue = 1%
- 10 gene sets are significantly enriched at nominal pvalue = 5%
- 6 gene sets are significantly enriched at FDR = 25%
- Subset of enrichment results
- Ordered enrichment results in MS17 format
- Ordered enrichment results in MS17 format (tab delimited text)

**Gene Sets Browser**

- Browse gene sets in MSigDB
- Search the database of ~2500 gene sets
- Chip2Chip converts gene sets between platforms
- Export gene sets for analysis with GSEA or with other programs

**Getting Help**

GSEA website

[www.broad.mit.edu/gsea](http://www.broad.mit.edu/gsea)

GSEA Wiki

[www.broad.mit.edu/gsea/wiki](http://www.broad.mit.edu/gsea/wiki)

Email the GSEA team at

[gsea@broad.mit.edu](mailto:gsea@broad.mit.edu)

下午 12:40:02
26M of 36M

# Load Data

The screenshot displays the GSEA v2.07 application window. The main interface is titled "Load data" and provides instructions for importing data. Three red arrows highlight the following steps:

- 1**: Points to the "Load data" button in the left-hand navigation pane.
- 2**: Points to the "Browse for files ..." button under Method 1.
- 3**: Points to the "Recent folders (double click to list content)" list in the "開啟" (Open) dialog box.

The "開啟" dialog box shows a file list with the following items:

- c1.symbols.gmt
- c2.symbols.gmt
- P53.cls
- P53\_collapsed\_symbols.gct
- P53\_hgu95av2.gct

At the bottom of the dialog, the "檔案名稱" (File names) field contains: symbols.gmt" "c2.symbols.gmt" "P53.cls" "P53\_collapsed\_symbols.gct" "P53\_hgu95av2.gct"

The "檔案類型" (File type) dropdown is set to: GSEA supported file types [res,gct,pcl,txt,grp,gmx,gmt,cls,rnk,chip]

Buttons at the bottom right of the dialog are "開啟" (Open) and "取消" (Cancel).

# Explore Inputs

訊息

Loading ... 5 files

- c1.symbols.gmt
- c2.symbols.gmt
- P53.cls
- P53\_collapsed\_symbols.gct
- P53\_hgu95av2.gct

Files loaded successfully: 5 / 5  
There were NO errors

確定

Gene set enrichment analysis -- Broad Institute)

Downloads Tools Help

analysis

Home Load data x

Load data: Import data into the application

Method 1: Browse for files ...

Method 2: Load last dataset used

Method 3: drag and drop files here

Supported file formats

Dataset: *res* or *gct* (Broad/MIT), *pcl* (Stanford), *txt* (tab-delim text)  
Phenotype labels: *cls*  
Gene sets: *gmx* or *gmt*

Clear Load these files! More on file formats ...

Recently used files (double click to load, right click for more options) Purge

- ..lexample-data-p53IP53.cls
- ..lexample-data-p53IP53\_collapsed\_symbols.gct
- ..lexample-data-p53IP53\_hgu95av2.gct
- ..lexample-data-p53ic1.symbols.gmt
- ..lexample-data-p53ic2.symbols.gmt

Object cache (objects already loaded & ready for use, right click for more options)

- Objects in memory (shift-click to expand all)
- Gene set databases
  - c2.symbols.gmt [522 gene sets]
  - c1.symbols.gmt [319 gene sets]
  - c2.symbols.gmt [522 gene sets]
- Phenotypes
  - P53.cls [50 samples(33,17)=>2 classes]
  - P53.cls#MUT versus WT [50 samples(22,17)=>2 classes]
  - P53.cls#WT versus MUT [50 samples(22,17)=>2 classes]
  - P53.cls#MUT [33 samples=>1 class]
  - P53.cls#WT [17 samples=>1 class]
- Datasets
  - P53\_collapsed\_symbols [1010 genes]
  - P53\_hgu95av2 [12625x50 (an

Phenotype viewer Force data reload Excel Textpad File Explorer

# Run GSEA

The screenshot shows the GSEA v2.07 application window. The interface is divided into several sections:

- Steps in GSEA analysis:** A sidebar on the left with icons for 'Load data', 'Run GSEA' (highlighted with a red arrow and the number 1), and 'Leading edge analysis'.
- Gene set tools:** A section below the sidebar with icons for 'Chip2Chip mapping' and 'Browse MSigDB'.
- Analysis history:** A section with a list of processes.
- GSEA reports:** A table at the bottom left showing the status of the current analysis. A red dashed box highlights this table, and a red arrow with the number 4 points to the 'Gsea' entry. A tooltip 'Set Parameters and Launch Analysis Tools' is visible over the 'Gsea' entry.
- Main configuration area:** The central part of the window contains various input fields and dropdown menus, organized into sections: 'Required fields', 'Basic fields', and 'Advanced field'. A red arrow with the number 2 points to the 'Phenotype labels' field.
- Buttons:** At the bottom right, there are buttons for 'Reset', 'Last', 'Command', 'Run' (highlighted with a red arrow and the number 3), and a progress indicator.

**Required fields:**

- Expression dataset: P53\_hgu95av2 [12625x50 (ann: 12625,50,chip na)]
- Gene sets database: \\BioInformatics\Web-Microarray\Gene Set Analysis\GSEA\example-data-p53\c1.symbols.gmt
- Number of permutations: 30
- Phenotype labels: atics\Web-Microarray\Gene Set Analysis\GSEA\example-data-p53\P53.cls#MUT\_versus\_WT
- Collapse dataset to gene symbols: true
- Permutation type: phenotype
- Chip platform(s): gseaftp.broadinstitute.org://pub/gsea/annotations/HG\_U95Av2.chip

**Basic fields:**

- Analysis name: my\_analysis
- Enrichment statistic: weighted
- Metric for ranking genes: Signal2Noise
- Gene list sorting mode: real
- Gene list ordering mode: descending
- Max size: exclude larger sets: 500
- Min size: exclude smaller sets: 15
- Output folder: C:\Users\hmwu\gsea\_home\output\六月07

**Advanced field:**

- probe sets => 1 gene: Max\_probe, meandiv

**GSEA reports table:**

Name	Status
1 Gsea	Success 5



# Required Fields

**Required fields**

**Expression dataset** P53\_hgu95av2 [12625x50 (ann: 12625,50,chip na)]

**Gene sets database** \BioInformatics\Web-Microarray\Gene Set Analysis\GSEA\example-data-p53\c1.symbols.gmt

**Number of permutations** 30

**Phenotype labels** atics\Web-Microarray\Gene Set Analysis\GSEA\example\_data-p53\P53.cls#MUT\_versus\_WT

**Collapse dataset to gene symbols** true

**Permutation type** phenotype

**Chip platform(s)** gseaftp.broadinstitute.org://pub/gsea/annotations/HG\_U95Av2.chip

**Select one or more gene sets(s)**

Text entry

Gene matrix (from website) | Gene sets (grp) | Gene matrix (local gmx/gmt) | Subsets

c2.symbols.gmt [522 gene sets]

c1.symbols.gmt [519 gene sets]

c2.symbols.gmt [522 gene sets]

Help

**Select one or more gene sets(s)**

Text entry

Gene matrix (from website) | Gene sets (grp) | Gene matrix (local gmx/gmt) | Subsets

- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c5.cc.v2.5.symbols.gmt [Gene ontolog]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c5.mf.v2.5.symbols.gmt [Gene ontolog]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c1.v2.symbols.gmt [Positional]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c2.v2.symbols.gmt [Curated]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c3.v2.symbols.gmt [Motif]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c4.v2.symbols.gmt [Computational]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c2.cgp.v3.0.symbols.gmt [Curated]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c2.cp.kegg.v3.0.symbols.gmt [Curated]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c5.bp.v3.0.symbols.gmt [Gene ontolog]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c3.all.v3.0.symbols.gmt [Motif]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c2.cp.v3.0.symbols.gmt [Curated]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c4.cgn.v3.0.symbols.gmt [Computational]
- gseaftp.broadinstitute.org://pub/gsea/gene\_sets/c2.cp.biocarta.v3.0.symbols.gmt [Curated]

Help OK Cancel

**Select a phenotype**

Select source file

P53.cls [50 samples(33.17)=>2 classes]

Select one phenotype)

MUT\_versus\_WT

WT\_versus\_MUT

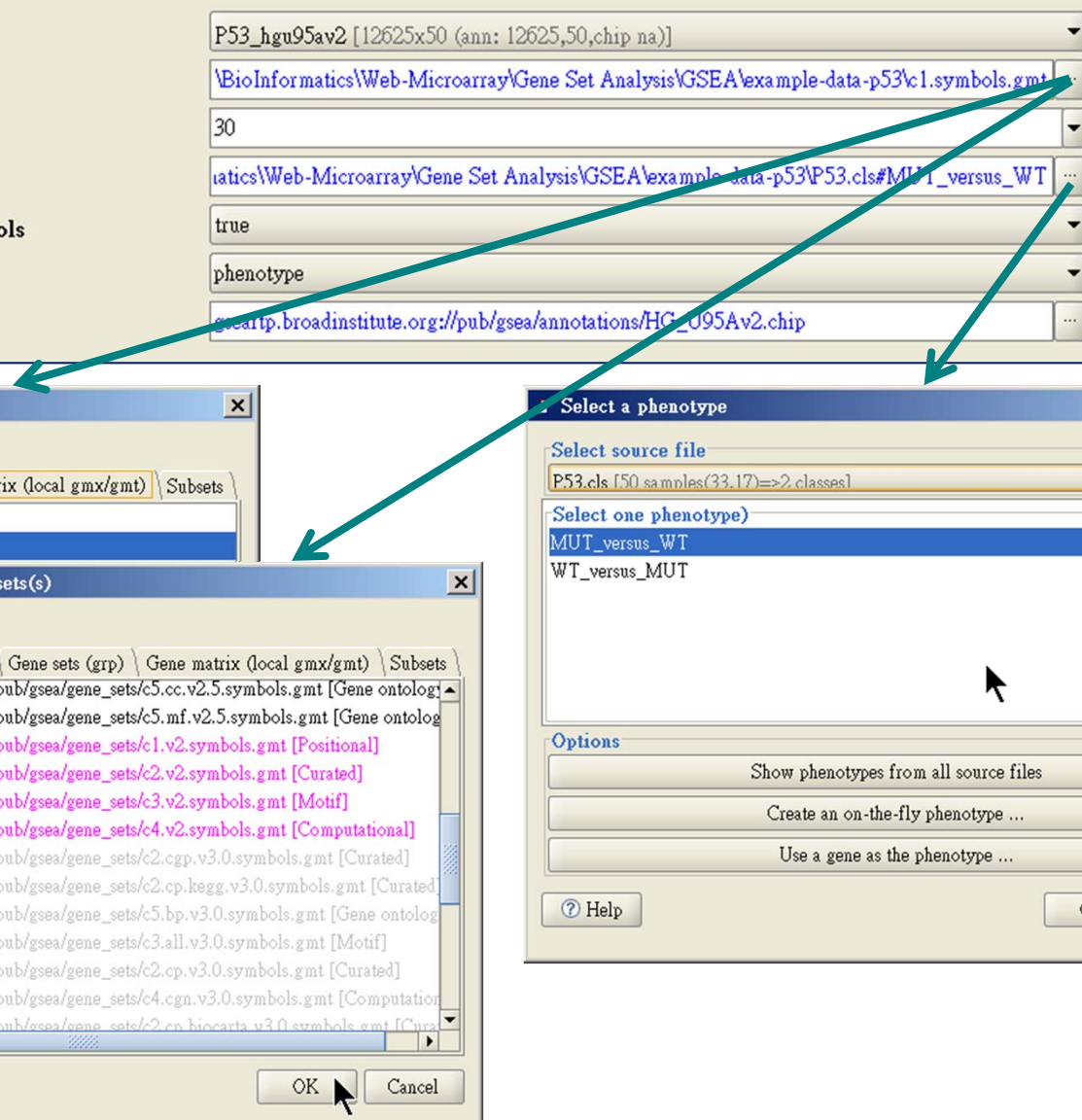
Options

Show phenotypes from all source files

Create an on-the-fly phenotype ...

Use a gene as the phenotype ...

Help OK Cancel



# Report

## GSEA Report for Dataset P53\_hgu95av2

### Enrichment in phenotype: MUT (33 samples)

- 71 / 176 gene sets are upregulated in phenotype **MUT**
- 0 gene sets are significant at FDR < 25%
- 4 gene sets are significantly enriched at nominal pvalue < 1%
- 4 gene sets are significantly enriched at nominal pvalue < 5%
- [Snapshot](#) of enrichment results
- Detailed [enrichment results in html](#) format
- Detailed [enrichment results in excel](#) format (tab delimited text)
- [Guide to](#) interpret results

### Enrichment in phenotype: WT (17 samples)

- 105 / 176 gene sets are upregulated in phenotype **WT**
- 15 gene sets are significantly enriched at FDR < 25%
- 15 gene sets are significantly enriched at nominal pvalue < 1%
- 15 gene sets are significantly enriched at nominal pvalue < 5%
- [Snapshot](#) of enrichment results
- Detailed [enrichment results in html](#) format
- Detailed [enrichment results in excel](#) format (tab delimited text)
- [Guide to](#) interpret results

### Dataset details

- The dataset has 12625 native features
- After collapsing features into gene symbols, there are: 9096 genes

### Gene set details

- Gene set size filters (min=15, max=500) resulted in filtering out 143 / 319 gene sets
- The remaining 176 gene sets were used in the analysis
- List of [gene sets used and their sizes](#) (restricted to features in the specified dataset)

### Gene markers for the MUT *versus* WT comparison

- The dataset has 9096 features (genes)
- # of markers for phenotype **MUT**: 4076 (44.8% ) with correlation area 42.2%
- # of markers for phenotype **WT**: 5020 (55.2% ) with correlation area 57.8%
- Detailed [rank ordered gene list](#) for all features in the dataset
- [Heat map and gene list correlation](#) profile for all features in the dataset
- [Butterfly plot](#) of significant genes

### Global statistics and plots

- Plot of [p-values vs. NES](#)
- [Global ES](#) histogram

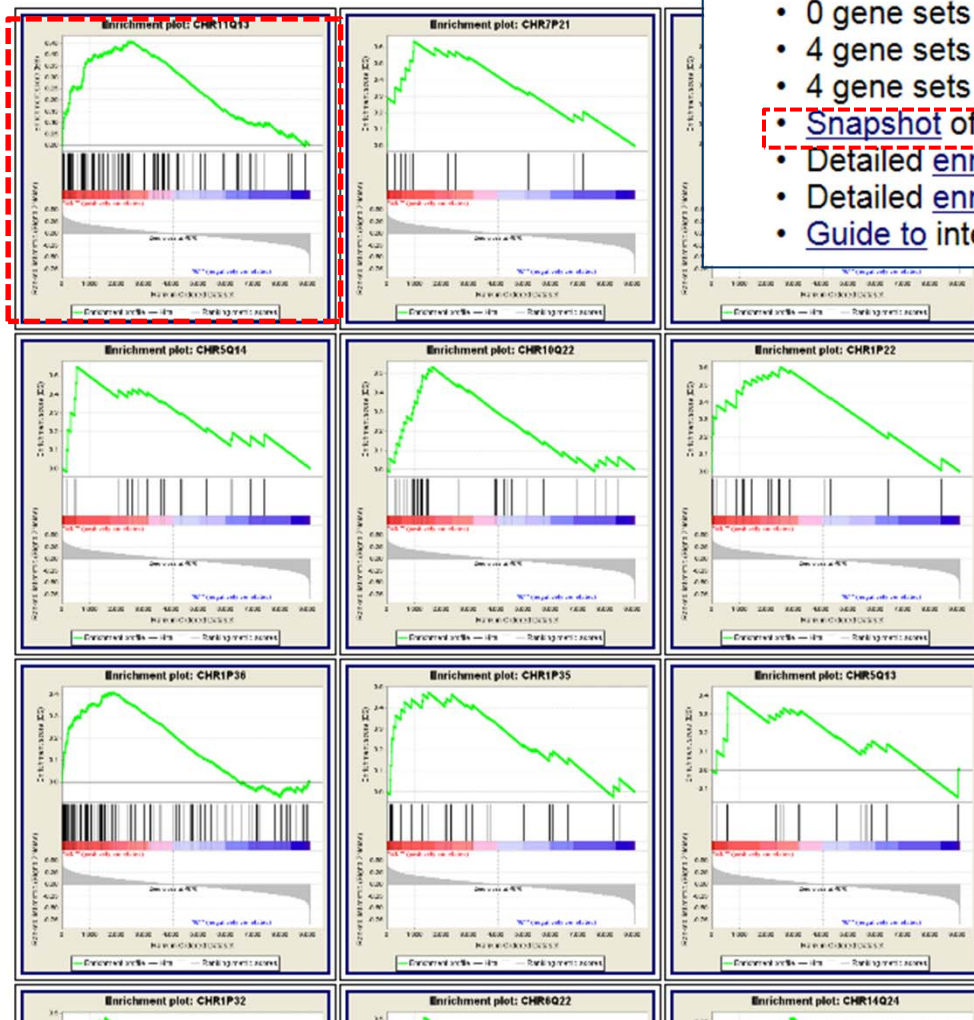
### Other

- [Parameters](#) used for this analysis

# Interpretation

## Enrichment in phenotype: MUT (33 samples)

Table: Snapshot of enrichment results

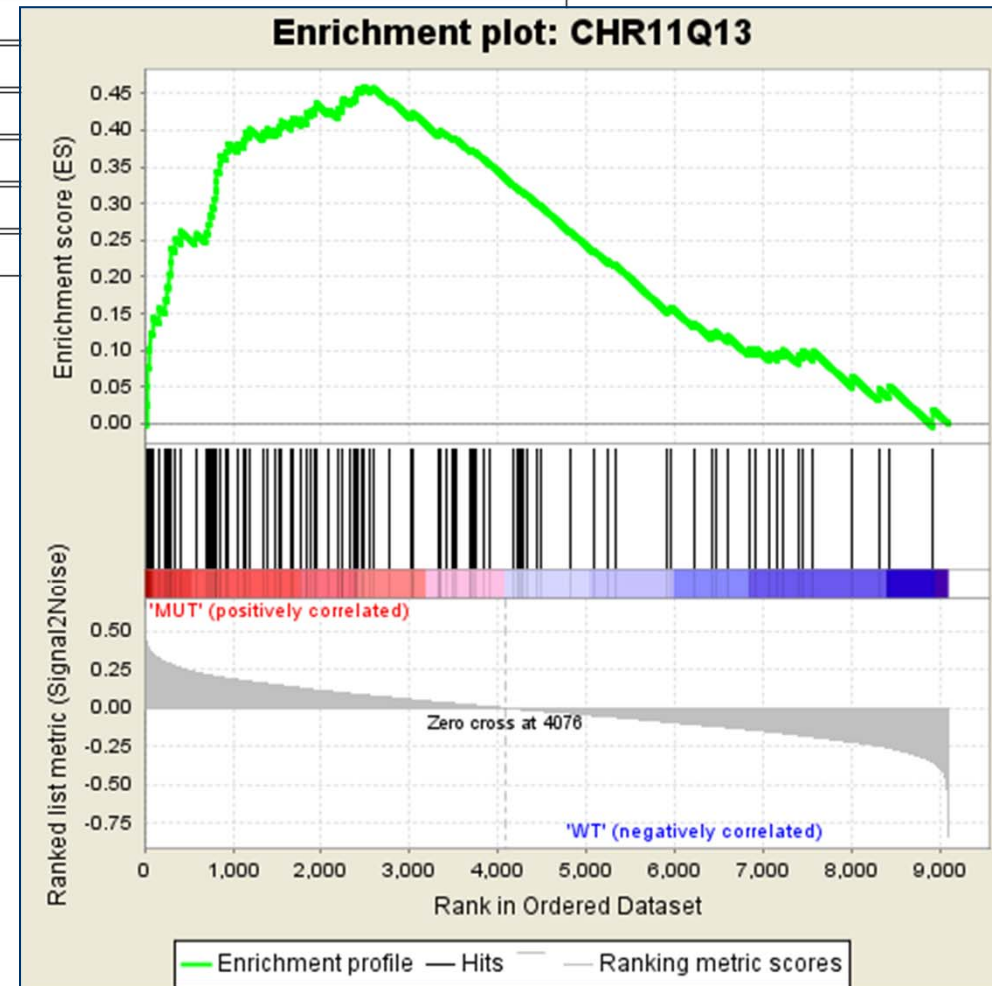
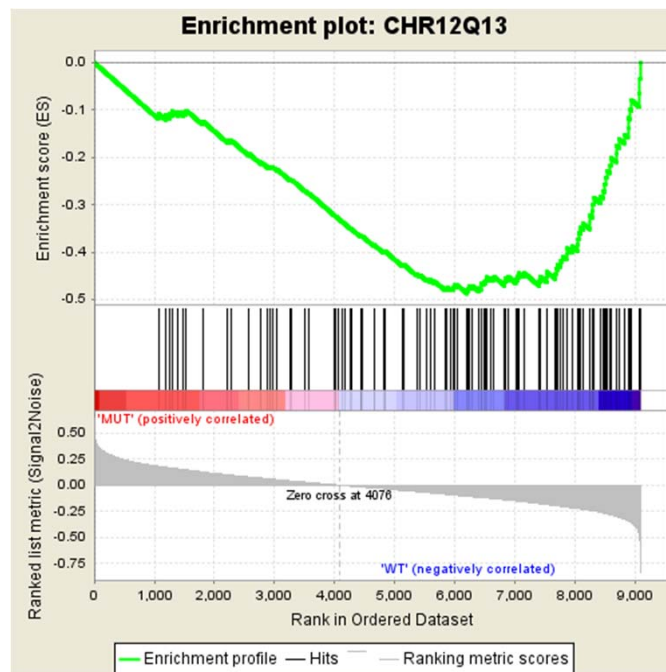


- 71 / 176 gene sets are upregulated in phenotype **MUT**
- 0 gene sets are significant at FDR < 25%
- 4 gene sets are significantly enriched at nominal pvalue < 1%
- 4 gene sets are significantly enriched at nominal pvalue < 5%
- **Snapshot of enrichment results**
- Detailed [enrichment results in html](#) format
- Detailed [enrichment results in excel](#) format (tab delimited text)
- [Guide](#) to interpret results

# Enrichment plot

Table: GSEA Results Summary

Dataset	P53_hgu95av2_collapsed_to_symbols.P53.cls#MUT_versus_WT
Phenotype	P53.cls#MUT_versus_WT
Upregulated in class	MUT
GeneSet	CHR11Q13
Enrichment Score (ES)	0.45963296
Normalized Enrichment Score (NES)	1.6873256
Nominal p-value	0.0
FDR q-value	1.0
FWER p-Value	0.6666667



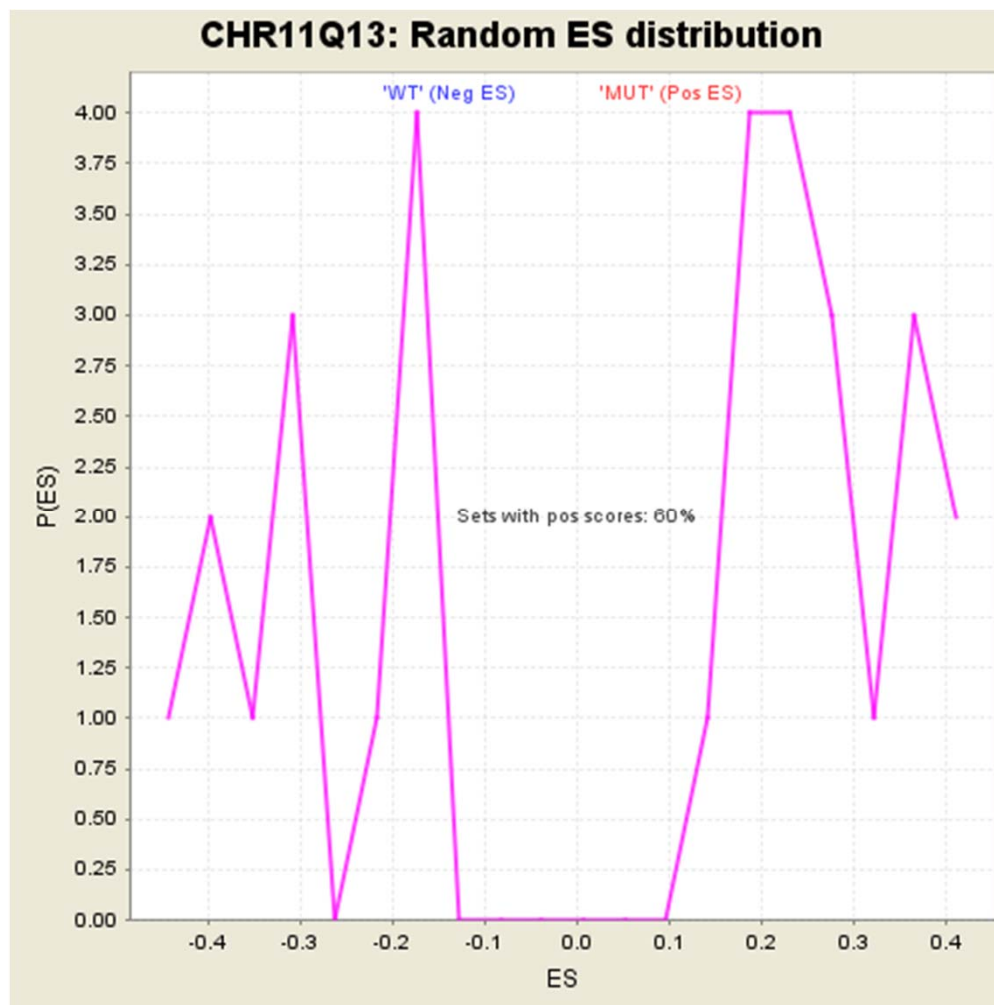
# Hits

Table: GSEA details [plain text format]

	PROBE	GENE SYMBOL	GENE_TITLE	RANK IN GENE LIST	RANK METRIC SCORE	RUNNING ES	CORE ENRICHMENT
1	<a href="#">CFL1</a>	CFL1 <a href="#">Entrez</a> , <a href="#">Source</a>	cofilin 1 (non-muscle)	22	0.429	0.0258	Yes
2	<a href="#">SF3B2</a>	SF3B2 <a href="#">Entrez</a> , <a href="#">Source</a>	splicing factor 3b, subunit 2, 145kDa	34	0.408	0.0515	Yes
3	<a href="#">MRPL49</a>	MRPL49 <a href="#">Entrez</a> , <a href="#">Source</a>	mitochondrial ribosomal protein L49	42	0.390	0.0765	Yes
4	<a href="#">RELA</a>	RELA <a href="#">Entrez</a> , <a href="#">Source</a>	v-rel reticuloendotheliosis viral oncogene homolog polypeptide gene enhancer in B-cells 3, p65 (avian)	48	0.384	0.1012	Yes
5	<a href="#">PPP2R5B</a>	PPP2R5B <a href="#">Entrez</a> , <a href="#">Source</a>	protein phosphatase 2, regulatory subunit B (B56)	65	0.372	0.1239	Yes
6	<a href="#">HTATIP</a>	HTATIP <a href="#">Entrez</a> , <a href="#">Source</a>	HIV-1 Tat interacting protein, 60kDa	91	0.356	0.1446	Yes
		<a href="#">C12orf22</a>					
		<a href="#">C12orf22</a>					
105	<a href="#">NAALADL1</a>	NAALADL1 <a href="#">Entrez</a> , <a href="#">Source</a>	N-acetylated alpha-linked acidic dipeptidase-like	8011	-0.221	0.0637	No
106	<a href="#">FLRT1</a>	FLRT1 <a href="#">Entrez</a> , <a href="#">Source</a>	fibronectin leucine rich transmembrane protein 1	8306	-0.246	0.0472	No
107	<a href="#">PDE2A</a>	PDE2A <a href="#">Entrez</a> , <a href="#">Source</a>	phosphodiesterase 2A, cGMP-stimulated	8419	-0.258	0.0518	No
108	<a href="#">FOLR3</a>	FOLR3 <a href="#">Entrez</a> , <a href="#">Source</a>	folate receptor 3 (gamma)	8924	-0.354	0.0190	No



# Gene Set Null Distribution of ES



CHR11Q13: Random ES distribution.  
Gene set null distribution of ES for CHR11Q13

# Detailed Enrichment Results

## Enrichment in phenotype: MUT (33 samples)

- 71 / 176 gene sets are upregulated in phenotype **MUT**
- 0 gene sets are significant at FDR < 25%
- 4 gene sets are significantly enriched at nominal pvalue < 1%
- 4 gene sets are significantly enriched at nominal pvalue < 5%
- [Snapshot of enrichment results](#)
- [Detailed enrichment results in html format](#)
- [Detailed enrichment results in excel format](#) (tab delimited text)
- [Guide to interpret results](#)

Table: Gene sets enriched in phenotype MUT (33 samples) [\[plain text format\]](#)

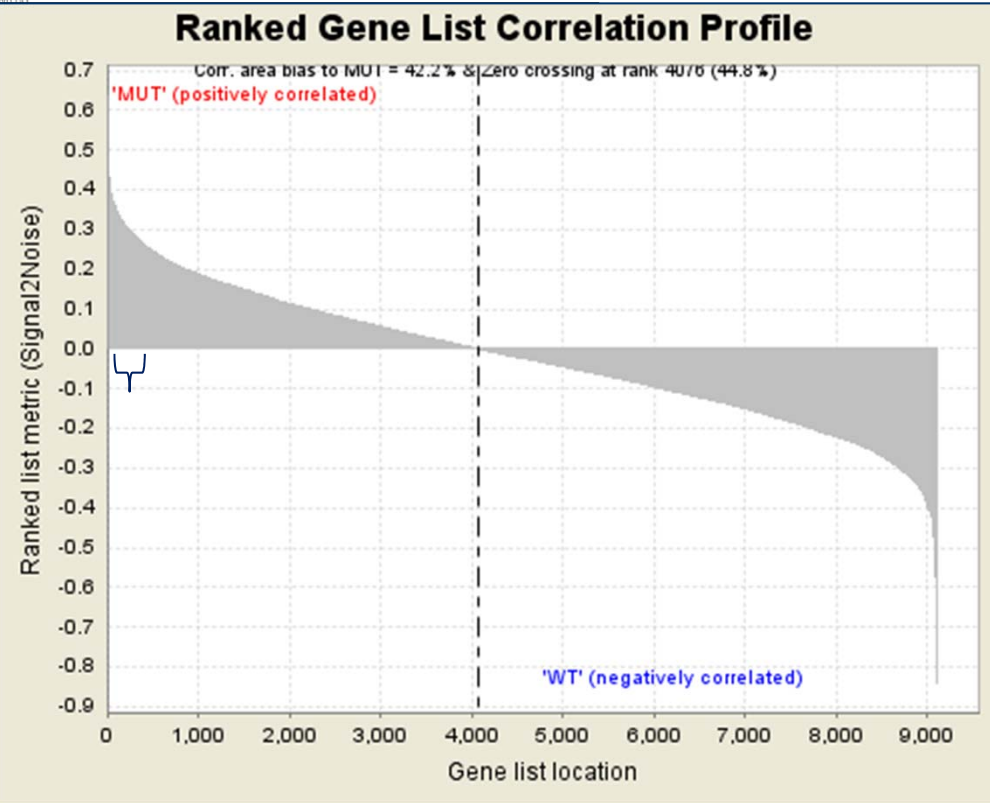
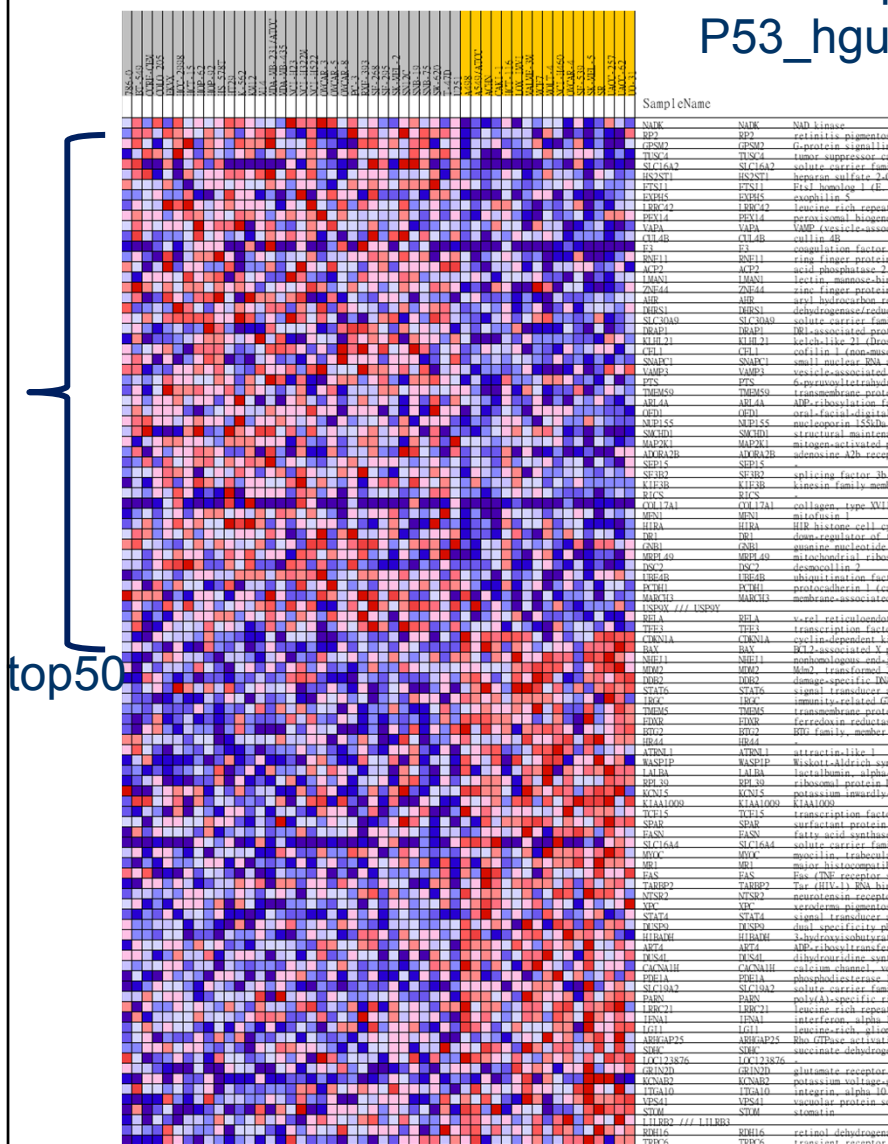
	GS follow link to MSigDB	GS DETAILS	SIZE	ES	NES	NOM p-val	FDR q-val	FWER p-val	RANK AT MAX	LEADING EDGE
1	CHR11Q13	<a href="#">Details ...</a>	108	0.46	1.69	0.000	1.000	0.667	2479	tags=53%, list=27%, signal=72%
2	CHR7P21	<a href="#">Details ...</a>	16	0.64	1.66	0.000	0.717	0.800	979	tags=50%, list=11%, signal=56%
3	CHRX11	<a href="#">Details ...</a>	66	0.53	1.62	0.182	0.664	0.833	1909	tags=55%, list=21%, signal=69%
4	CHR5Q14	<a href="#">Details ...</a>	20	0.55	1.62	0.077	0.525	0.833	535	tags=30%, list=6%, signal=32%
5	CHR10Q22	<a href="#">Details ...</a>	33	0.53	1.57	0.000	0.602	0.933	1649	tags=55%, list=18%, signal=66%
6	CHR1P22	<a href="#">Details ...</a>	22	0.61	1.46	0.000	0.996	0.967	2510	tags=77%, list=28%, signal=106%
7	CHR1P36	<a href="#">Details ...</a>	117	0.41	1.42	0.050	1.000	1.000	1852	tags=44%, list=20%, signal=54%
67	CHR3P14		16	0.21	0.62	0.667	0.976	1.000	2390	tags=39%, list=26%, signal=53%
68	CHR6P21		138	0.19	0.56	0.867	0.999	1.000	1718	tags=25%, list=19%, signal=30%
69	CHR4Q31		24	0.18	0.54	1.000	0.994	1.000	2516	tags=38%, list=28%, signal=52%
70	CHRXQ22		21	0.21	0.52	1.000	0.988	1.000	3222	tags=48%, list=35%, signal=74%
71	CHR9Q22		32	0.15	0.48	1.000	0.988	1.000	1821	tags=22%, list=20%, signal=27%





# Heat Map and Gene Correlation

Heat Map of the top 50 features for each phenotype in P53\_hgu95av2\_collapsed\_to\_symbols

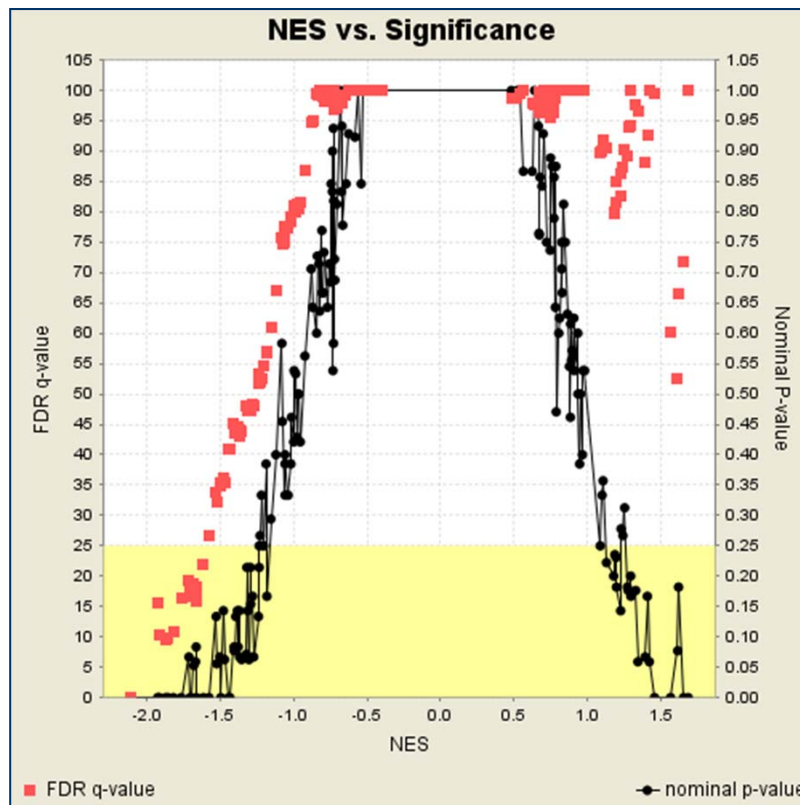


# Global Statistics and Plots

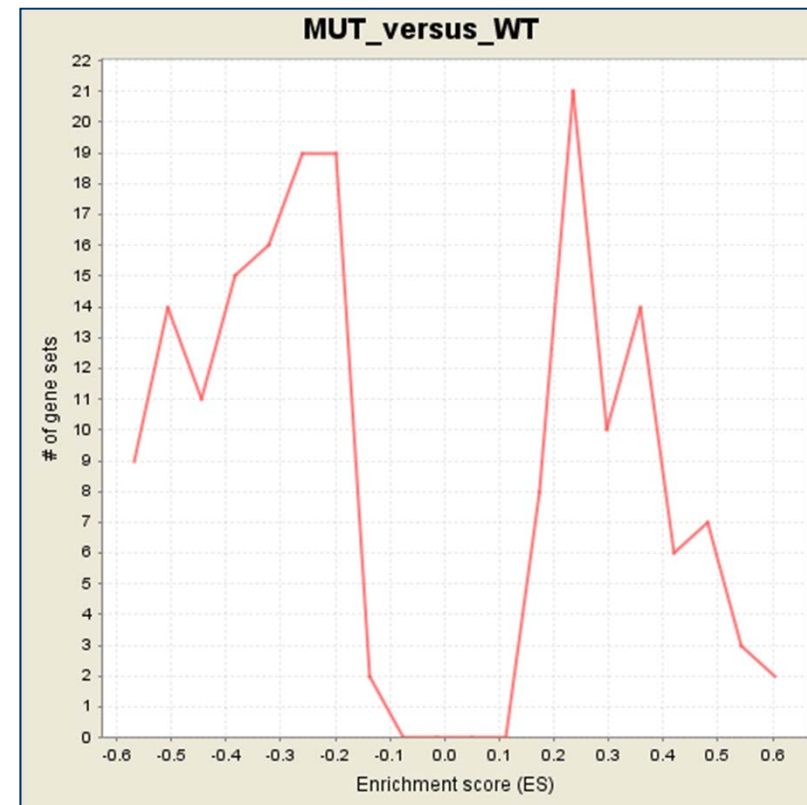
## Global statistics and plots

- Plot of p-values vs. NES
- Global ES histogram

Plot of p-values vs. NES



Global ES histogram



# Running the Leading Edge Analysis

60/61

c2.symbols.gmt

GSEA v2.07 (Gene set enrichment analysis -- Broad Institute)

File Options Downloads Tools Help

Steps in GSEA analysis

- Load data
- Run GSEA
- Leading edge analysis

Gene set tools

- Chip2Chip mapping
- Browse MSigDB

Analysis history

GSEA reports

Processes: click 'status' field for results

Name	Status
1 Gsea	Success
2 Gsea	Success

Show results folder

Home Load data Run Gsea Gsea Leading edge analysis Gsea

Select a GSEA result from the application cache: C:\Users\hmmw\gsea\_home\output\六月07\my\_analysis.Gsea.1307511195910

Locate a GSEA result folder from the file system

Load GSEA Results

GSEA Results Leading Edge Analysis-1 GSEA Results GSEA Results GSEA Results Leading Edge Analysis-2 GSEA Results

positive phenotype: na pos negative phenotype: WT

Filter Gene Sets

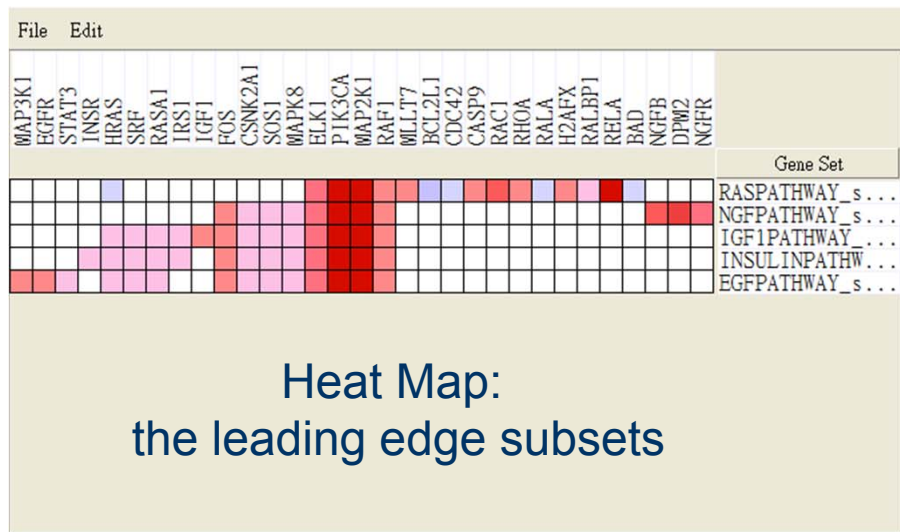
304 out of 304 gene sets

Gene Set	Size	ES	NES	NOM p-val	FDR q-val	OVER p-val	Rank at Max	Leading Edge
RASPATHWAY	22	0.594	2.05	0	0	0	2,563 tags=73%, list=28%, s...	
IGF1PATHWAY	20	0.546	1.973	0	0.055	0.133	2,444 tags=65%, list=27%, s...	
INSULINPATHWAY	21	0.484	1.799	0	0.196	0.433	2,522 tags=62%, list=28%, s...	
NGFPATHWAY	19	0.577	1.729	0	0.233	0.533	2,031 tags=58%, list=22%, s...	
EGFPATHWAY	27	0.47	1.697	0	0.245	0.567	2,413 tags=52%, list=27%, s...	
UPREG_BY_HOXA9	27	0.52	1.644	0	0.325	0.733	1,517 tags=44%, list=17%, s...	
PDGFPATHWAY	27	0.439	1.629	0.059	0.312	0.733	2,461 tags=52%, list=27%, s...	
PROTEASOMEPAT...	21	0.534	1.586	0.062	0.399	0.867	2,320 tags=57%, list=26%, s...	
XINACT_MERGED	20	0.659	1.558	0.083	0.435	0.933	1,761 tags=55%, list=19%, s...	
ERKPATHWAY	29	0.433	1.543	0.059	0.422	0.933	2,461 tags=52%, list=27%, s...	
AKTPATHWAY	17	0.446	1.524	0	0.442	0.933	1,440 tags=35%, list=16%, s...	
BRCA_UP	38	0.462	1.514	0	0.438	0.967	1,991 tags=39%, list=22%, s...	
IGF1RPATHWAY	15	0.444	1.454	0.167	0.621	1	2,716 tags=60%, list=30%, s...	
ST_PHOSPHOINOSI...	31	0.377	1.437	0.056	0.634	1	2,232 tags=39%, list=25%, s...	
FMLPPATHWAY	33	0.392	1.437	0	0.592	1	1,918 tags=48%, list=21%, s...	
IL6PATHWAY	21	0.381	1.428	0	0.596	1	2,385 tags=52%, list=26%, s...	
BCRPATHWAY	33	0.382	1.415	0	0.606	1	2,027 tags=42%, list=22%, s...	
PITX2PATHWAY	16	0.513	1.412	0.071	0.587	1	721 tags=38%, list=8%, s...	
HCMVPATHWAY	15	0.482	1.405	0.071	0.577	1	1,643 tags=40%, list=18%, s...	
SPRYPATHWAY	16	0.47	1.39	0	0.605	1	2,413 tags=63%, list=27%, s...	
NFKB_REDUCED	20	0.546	1.389	0.143	0.586	1	1,228 tags=35%, list=14%, s...	
RELAPATHWAY	16	0.426	1.38	0.188	0.588	1	1,891 tags=44%, list=21%, s...	
NKCELLSPATHWAY	18	0.459	1.377	0.083	0.573	1	1,041 tags=33%, list=11%, s...	
TUMOR_SUPPRESSOR	22	0.377	1.368	0.067	0.574	1	100 tags=14%, list=1%, si...	
CELL_CYCLE_CHE...	23	0.519	1.348	0.125	0.606	1	2,592 tags=52%, list=28%, s...	
ST_B_CELL_ANTIG...	38	0.328	1.326	0	0.655	1	2,287 tags=37%, list=25%, s...	
GLYCOGEN_META...	33	0.388	1.323	0.095	0.637	1	1,000 tags=24%, list=11%, s...	
GCRPATHWAY	16	0.515	1.318	0.125	0.63	1	2,015 tags=44%, list=22%, s...	

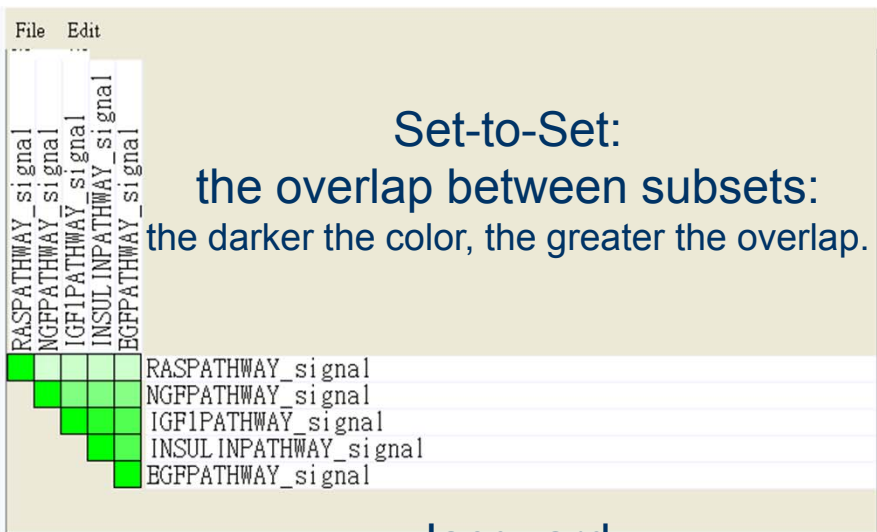
For 5 selected gene sets: Run leading edge analysis Build HTML Report

下午 01:38:39 9640 [INFO] Begun importing: RankedList from: C:\Users\hmmw\gsea\_home\output\六月07\my\_analysis.Gsea.1307511195910\edbP53\_hgu95av2\_collapsed\_to\_symbols.mk 160M of 247M

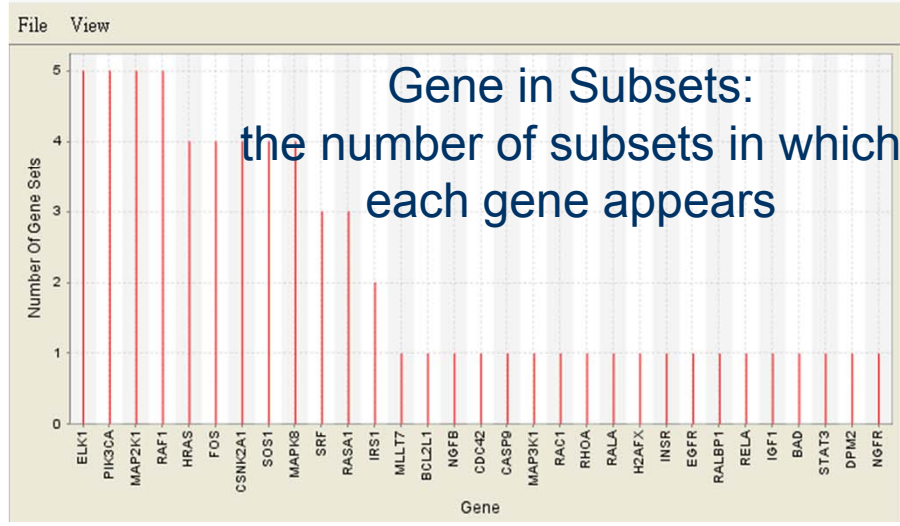
# Results



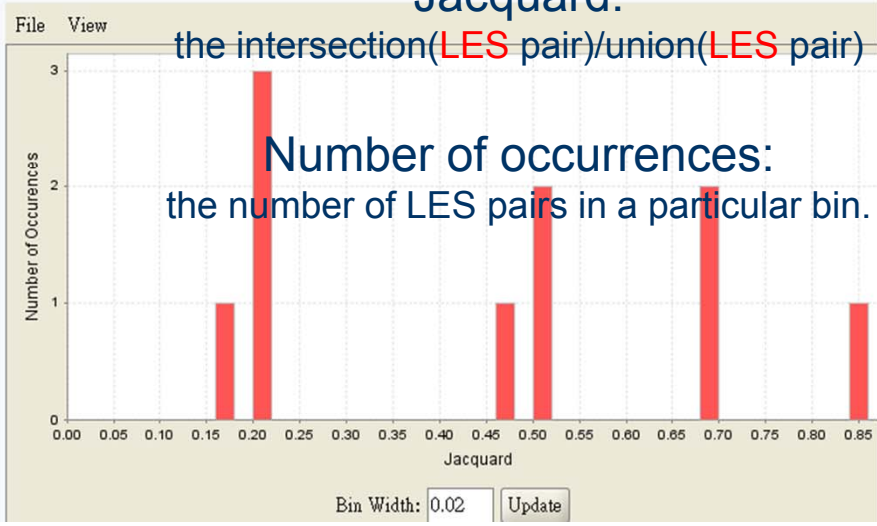
Heat Map:  
the leading edge subsets



Set-to-Set:  
the overlap between subsets:  
the darker the color, the greater the overlap.



Gene in Subsets:  
the number of subsets in which  
each gene appears



Jacquard:  
the intersection(LES pair)/union(LES pair)

Number of occurrences:  
the number of LES pairs in a particular bin.